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<p>(54) Title: METHODS AND GENETIC COMPOSITIONS TO LIMIT OUTCROSSING AND UNDESIREED GENE FLOW IN CROP PLANTS</p> <p>(57) Abstract</p> <p>The present invention relates to methods to control the spread of recombinant DNA molecules between sexually compatible plants of differing genetic composition. The invention describes the production of transgenic plants that comprise recombinant traits of interest or concern linked to repressible lethal genes. The lethal genes are blocked by the action of repressor molecules produced by the expression of repressor genes located at a different genetic locus. The lethal phenotype is only expressed after the segregation of the repressible lethal gene construct and the repressor gene following meiosis. The present invention may be employed for both open-pollinated and hybrid seed production systems and may be used to maintain genetic purity by blocking unintended introgression of genes from plants devoid of the specific repressor gene. The invention includes methods that impart traits that are desirable for environmentally responsible heterologous protein production, to genetic material used to impart said traits and to new plants and products derived by said methods.</p>		

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# METHODS AND GENETIC COMPOSITIONS TO LIMIT OUTCROSSING AND UNDESIREG GENE FLOW IN CROP PLANTS

## BACKGROUND OF THE INVENTION

The increasing number and diversity of plants containing novel traits derived from recombinant DNA research present both environmental and commercial concerns. The concerns arise from the potential for novel traits to spread by pollen to sexually compatible plants in a natural or cultivated population.

Plants with new and altered traits imparted by genetic technologies and recombinant DNA technology in particular are now viewed as the cornerstone of the crop biotechnology industry. Currently a considerable number of crops plants with novel traits that originated from tissue culture, somatoclonal variation or mutation as well as genetic engineering are undergoing field trials and the first stages of commercial release. These plants not only include conventional crops grown on an annual basis, but other plants such as trees or shrubs which comprise novel traits and are perennial in nature.

Modern crop varieties comprise both individual genes that confer a particular trait and combination of genes assembled through conventional plant breeding. Accordingly, as more novel traits are developed and incorporated into modern crop varieties, it is valuable to have a means to preserve genetic compositions, including those of specific crop varieties, cultivars or breeding lines. Of particular value is the preservation of crops which carry traits not usually found in the crop; for

example, plants which produce novel oil, meal or other components or those plants modified to produce speciality chemicals. Additionally, perennial plants such as trees are being produced which carry novel traits such as altered lignin levels, insect and fungal resistance and herbicide tolerance.

Novel traits are introduced into plants by conventional breeding or genetic engineering. However, to date neither route provides features that can be routinely used for maintaining germplasm purity, or controlling persistence or potential spread of the novel trait. Current vectors and genetic compositions typically do not address two important issues: (1) commercial issues such as the prevention of transformed crop plants or elite varieties from contaminating other commercial productions, or the prevention of introgression of alien germplasm from closely related cultivars or plant species, and; (2) environmental issues such as the removal of transformed crop plants or related species that have acquired the genes in question from non-agricultural environments. Additionally current transformation methods do not provide the means for reducing the introduction of genes via pollen mediated out-crossing to other cultivars or related species (either wild or cultivated).

The single largest immediate risk for the use of many crops with novel traits is the risk of contamination among commercial productions of the same crop species. The risk of a crop species such as oilseed rape or canola (*Brassica napus*) to become a weed or to cross with wild weedy relatives is modest compared with the near certainty

of crossing with other commercial productions of canola, especially where large production areas exist. In the past this has not been a significant problem for farmers and commercial processors for several reasons. First, breeding objectives have been relatively uniform for canola crop; second, only a small number of cultivars have comprised 90 - 100% of the total acreage grown by farmers; and third, the only speciality type, traditionally cultivated, high erucic acid industrial oil cultivars have been grown in physical isolation. Accordingly, cross contamination of food quality canola varieties with genes conferring high erucic acid has not been a serious issue.

Recently additional unique varieties have been released. These include varieties that carry recombinant genes which confer tolerance to herbicides and varieties developed by conventional breeding which have variations in fatty acid profile, such as high oleic acid. Purity of seed, both during production and harvesting of canola seed for crushing and processing is now a growing issue. Because of the impending modification of canola with numerous additional recombinant genes that impart different properties to the oil (e.g. high laurate content) or the use of plants as producers of heterologous proteins such as pharmaceuticals, potentially serious industrial cross contamination may be anticipated.

These issues extend to many crops in addition to *Brassica* oilseeds. In maize, increasing emphasis on herbicide tolerance, insect resistance and diversification of modified end products (eg. starch, oil, meal) clearly indicates that many different traits will be incorporated

in the corn crop. As some maize varieties are destined for specialized use, such as wet milling or feed, or even production of pharmacologically important proteins, the issue of segregation of these speciality types from the mainstream is relevant. Considering that corn pollen can sometimes travel significant distances, a genetic means to control pollination is be highly advantageous.

Similarly, the proximity of perennial plants to their wild relatives is a problem. For instance, a transgenic tree expressing insect tolerance could cross with a wild species of tree to create a hybrid that expresses insect tolerance. Under managed conditions such as plantations, insect resistance would not have a significant environmental impact. However, should the insect resistance trait become widespread in a natural forest population a serious ecological problem could result. Insect populations are part of the food chain in a forest system and reduced levels of insects could lead to a collapse of the predator population, which is often native bird species. Accordingly, for unmanaged systems control of the spread of genes that may carry environmental consequences is a highly desirable goal.

Currently physical isolation combined with border rows that function as pollen traps have been employed to contain transgenic plants under study and development. This method, however, is impractical for widespread cultivation. Moreover, with increasing production and distribution of an increasing number of different transgenic types, the potential for contamination increases dramatically. This issue has recently become a major

concern for the oilseed rape industry and will become a greater issue for other major crops (eg. corn) as the numbers of different recombinant and speciality genotypes reach the market place.

In addition to cross-contamination among commercial crop productions, another concern is the potential spread of crops used as vehicles for producing heterologous proteins of commercial or medicinal value. These novel protein products can potentially contaminate plants destined for food use and export. Although production standards can be implemented that will attempt to preserve the identity of individual transgenic lines and reduce unintended contaminations, the outflow of genes to other cultivars will eventually occur. The potential spread of genes that cannot be easily identified, e.g. by herbicide tolerance, nor impart a distinctive morphology has yet to be addressed by government or industry.

Methods which control the spread of transgenes into the environment or other commercial cultivars are also useful for preventing the introgression of alien germplasm into identity-preserved commercial varieties. In this regard "alien germplasm" is defined as any germplasm which does not comprise the full complement of traits of the identity-preserved cultivar. Accordingly alien germplasm can include both sexually compatible wild relatives and other commercial varieties of the crop. With an increasing number of plants carrying novel traits being contemplated for commercial production, methods that prevent the contamination of both seed production and commodity

production will provide a valuable means to maintain germplasm purity and identity preservation.

As an example, many enzymes have been tested that alter plant oil production in oilseed crops such as soybean corn and canola. The same plant species have been used for producing inedible short chain or long chain industrially fatty acids as well as edible oil. Since modified oil seeds must be isolated to ensure pollen carrying the oil modification genes does not contaminate edible oil variety seeds, this poses a growing problem for the seed production industry. The isolation distances routinely practiced in seed production for many crops may not be sufficient to ensure required levels of purity. Where crop plants are used to produce speciality products such as pharmaceutically active compounds, even minor contamination of germplasm is highly undesirable.

Oil seed crops such as canola typically shatter seed before harvest. This results in significant numbers of volunteer plants in subsequent years, potentially contaminating subsequent commercial productions both by crossing and by direct effects of the pollen on developing grain (xenia effects). In addition, seeds retained and distributed by farmers for future planting could contribute to contamination problems.

For perennial plants, the long life of trees and the presence of indigenous wild relatives raise additional concerns. Some trees take many years to flower, producing enormous amounts of pollen that can last for many years and are especially suited for widespread wind pollination.

Transgenic trees therefore pose special problems and may require mechanisms to control gene flow to wild relatives.

It has been suggested that some new crop types, through hybridization with wild relatives, may invade natural ecosystems. This and related issues have been extensively debated (eg. University of California, Risk assessment in agricultural biology: proceedings of an international conference, 1990, Casper, R., & Landsman, J., 1992, The bio-safety results of field tests of genetically modified plants and microorganisms. Proceedings of the 2nd International Symposium on The Biosafety Results of Field Tests of Genetically Modified Plants and Microorganisms, 1992 Goslar, Germany, Dale, P. et al., 1992, The field release of transgenic plants. The British Crop Protection Council. Brighton Crop Protection Conference: Pests and Diseases, Vols. I, II and III., Proceedings of the 3rd International Symposium on The BioSafety Results of Field Tests of Genetically Modified Plants and Microorganisms, 1994, Monterey, California, D. D. Jones, 1994).

The consensus of these studies and experimental results achieved to date support the view that the degree of potential spread of transgenes to wild relatives is highly dependent upon the species and environmental conditions. Crossing with relatives is not likely with some species and probable for others (Raybould & Grey, J. Applied Ecology 30: 199 - 219, 1993). Many crops are highly specialized and adapted to non-competitive cultivation practices and thus are not generally considered a serious environmental risk on their own (Dale et al., Plant Breeding 111:1-22, 1993, Fishlock, D., The PROSAMO

Report, published by the Laboratory of the Government Chemist, Queens Road, Teddington, Middlesex, UK TW11 0LY). The potential for environmental problems due to, for example, the inclusion of a virus coat protein gene that has potential for viral recombination and the evolution of new viruses with an extended host range, is currently unknown (Gal S., et al., Virology 187:525-533, Grimsley, N., et al., EMBO Journal 5: 641-646, 1986, Lecoq, H., et al., Molec. Plant Microbe Interact. 6:403-406, 1993. Tepfer, M., Biotechnology 11: 1125 - 1132. 1993). Accordingly there is a need for methods to restrict the potential flow of this type of genes or to selectively eliminate those plants which contain such genes.

Attempts have been made to develop methods to specifically remove or identify plants that contain novel traits introduced by recombinant DNA. For example, the use of a conditionally lethal gene, i.e. one which results in plant cell death under certain conditions, has been suggested as a means to selectively kill plant cells containing a specific recombinant DNA. Recently the development of genes which are conditionally lethal in plants have been described (eg WO 94/03619). However, methods using these genes have been restricted to the application of a substance that triggers the expression of the lethal phenotype. For widespread agricultural practices, these methods have serious limitations.

An example of a conditionally lethal gene is the *Agrobacterium* Ti plasmid-derived oncogene commonly referred to as "gene 2" or "oncogene 2". The gene encodes the enzyme indole acetamide hydrolase (IAMH) that hydrolyzes

indole acetamide, a compound that has essentially no phytohormone activity, to form the active auxin phytohormone indole acetic acid. The enzyme IAMH is capable of hydrolyzing a number of indole amide substrates including naphthalene acetamide, resulting in the production of the well known synthetic plant growth regulator naphthalene acetic acid (NAA). Use of the IAMH gene for roguing plants has been described by Jorgenson (US Patent 5,180,873). The method requires application of NAM to discriminate plants which carry the conditionally lethal gene.

Other enzymes may also be used as conditionally lethal genes. These include enzymes which act directly to convert a non-toxic substance to a toxin, such as the enzyme methoxinine dehydrogenase, which converts non-toxic 2-amino-4-methoxy-butanoic acid (methoxinine) to toxic methoxyvinyl glycine (Margraff, R., et al., 1980, Experimentia 36: 846), the enzyme rhizobitoxine synthase, which converts non-toxic 2-amino-4-methoxy-butanoic acid to toxic 2-amino-4-[2-amino-3-hydroxypropyl]-trans-3-butanoic acid (rhizobitoxine) (Owens, L. D, et al., 1973, Weed Science 21: 63-66), the de-acylase enzyme which acts specifically to convert the inactive herbicide derivative L-N-acetyl-phosphinothricin to the active phytotoxic agent phosphinothricin (Bartsch, K. and Schultz, A., EP 617121), and the enzyme phosphonate monoester hydrolase which can hydrolyze inactive ester derivatives of the herbicide glyphosate to form the active herbicide (Dotson S. B., and Kishore G. M., 1993, US Patent 5,254,801). Other conditionally lethal genes may be engineered from lethal

genes. A lethal gene which is expressed only in response to environmental or physiological conditions is lethal under those conditions. For example, a gene that encodes a lethal activity may be placed under the control of a promoter that is induced in response to a specific chemical trigger or an artificial or naturally occurring physiological stress. In this fashion the expression of the lethal gene activity is conditional on the presence of the inducer.

The expression of the conditionally lethal gene that acts on a non-toxic substance to convert said substance to a toxic substance is typically regulated by a promoter that is a constitutive promoter expressed in all or most cell types or a developmentally regulated promoter expressed in certain cell types or at certain stages of development. Any promoter that provides sufficient level of expression can be used. However, in practice promoters that provide high levels of expression for extended periods offer the best opportunities to remove unwanted plants.

The need to apply a chemical to induce the lethal phenotype reduces the utility of a conditionally lethal gene. The widespread application of chemicals may be impractical and raise additional environmental concerns. Accordingly the use of conditionally lethal genes as currently described is not ideally suited for general applications since intervention is required to express the lethal phenotype.

The possibility of using a repressed lethal gene to limit the persistence of hybrid crops has been suggested

recently by Oliver et al (patent application WO 96/04393). In this system expression of a lethal gene is blocked by a genetic element that binds a specific repressor protein. The repressor protein is the product of a repressor gene typically of bacterial origin. The genetic element that binds the repressor protein is referred to as a blocking sequence and is constructed such that it further comprises DNA sequences recognized by a DNA recombinase enzyme (e.g. the CRE enzyme). Plants that contain said blocked lethal gene are hybridized with plants comprising the DNA recombinase gene. Either the lethal gene or the recombinase enzyme (or both) is under control of regulatory elements that allow expression only at a specific stage of plant development (e.g. seed embryo). Consequently, the recombinase function in the resulting F1 hybrid plant removes the specific blocking sequence and activates the lethal gene so that no F2 plant is produced. Notably, this scheme cannot control outcrossing of germplasm that carries the novel trait nor introgression of alien germplasm. The method does not apply to self- or open-pollinating varieties. Accordingly, the method is useful only as a means to restrict use (e.g.. re-planting) to F1 hybrid seed.

Methods to eliminate recombinant DNA sequences used to obtain transformants such as selectable markers have been developed. Use of a transposase or recombinase to remove selected recombinant sequences from transgenic crop plants has been described in US patent 5,482,852 (Biologically Safe Transformation System, by Yoder and Lassner). This invention describes a method for removing

vector and marker gene sequences by enclosing them within a transposon. The sequences are subsequently removed by crossing the plant to a plant with transposase function.

No published method, however, addresses the problem of contamination of related varieties by cross pollination. The art also does not provide a means to prevent the introgression of alien germplasm by pollination with related pollen, even pollen from the same variety but lacking the genetic trait(s).

Therefore, a method that limits outcrossing and introgression without intervention is needed for management and control of novel traits and crops with novel traits. A mechanism to control cross-contaminations among commercial crops is also needed. Such a mechanism is also needed in the management of perennial crops such as trees, shrubs and grapevines. In particular any mechanism which does not require intervention in order to function is ideally suited for perennial crops. The present invention describes methods and genetic compositions which respond to these needs.

#### SUMMARY OF THE INVENTION

The present invention comprises methods and recombinant DNA compositions that block the spread and persistence of genes in other cultivars of the same species or related species, resulting from unintended outcrossing by pollen produced by plants containing said recombinant DNA. The invention further ensures that introgression of alien germplasm is eliminated in a selfing population.

The present invention relates to novel recombinant DNA constructs that impart a novel feature to plants containing the recombinant DNA. This feature permits viable seed to be formed only on plants that contain the full complement of the recombinant DNA. The present invention further provides a means to ensure the sexual isolation of germplasm or genetic traits within a defined population through the expression of a trait that is lethal in plants which do not comprise the full complement of the recombinant DNA. The invention ensures that those plants which are fertilized by the transgenic plant but which do not carry the recombinant DNA are unable to form viable seed.

The novel genetic constructs impart no morphologically obvious or easily detectable phenotype to plants. They comprise silent genes that are expressed only when an unintended sexual cross occurs. An unintended cross results in expression of a lethal trait and the undesired plant cells are eliminated. Accordingly the invention restricts the formation of viable seed via outcrossing with sexually compatible species. The novel DNA constructs further provide a means to effectively reduce the introgression of traits from cross-pollination with pollen from sexually compatible species that lack the constructs.

The present invention provides a genetic trait encoded within DNA constructs that ensures that specific cultivars or breeding lines are not contaminated with alien germplasm or contaminate other cultivars and breeding lines. This provides a convenient means to genetically

isolate the transgenic plant. The novel DNA constructs may be used as a means to ensure germplasm purity during seed production and the production of the commodity in the field and can be used in both open pollinated and hybrid crop varieties.

Linkage of the novel DNA constructs to DNA molecules that encode novel agronomic or phenotypic traits ensures that the novel agronomic or phenotypic trait does not persist outside of the genotype into which it was introduced. This aspect of the invention is useful in the management of crops with novel agronomic or phenotypic traits or crops with unique combinations of conventional traits developed through plant breeding techniques.

In one embodiment, the invention provides a genetic system comprising two DNA constructs. One DNA construct comprises a dominant repressible lethal gene that, when active, results in cellular death, and whose expression is inhibited in plant cells which contain a second DNA construct comprising a repressor gene, the repressor gene being located at a locus that segregates independently from the repressible dominant lethal gene. The repressor gene encodes a repressor molecule which may be a DNA binding protein, a direct inhibitor of the lethal gene activity, or an RNA, ribozyme or antisense RNA capable of inhibiting the lethal phenotype.

Figure 1 illustrates the genetic constructs that may be employed in this embodiment of the invention

In a preferred embodiment, the dominant repressible lethal gene is under the control of a seed

specific promoter and the gene encoding a repressor molecule is located at a locus that segregates independently from the repressible dominant lethal gene. Both the repressible dominant lethal gene and the repressor gene are in the homozygous state. Self pollination maintains this genetic combination.

In another preferred embodiment, the DNA construct further comprises a conditionally lethal gene linked to the repressible lethal gene. The conditionally lethal gene can be activated by the application of a chemical or physiological stress, ensuring a means to completely eliminate the plants or cells containing the recombinant DNA from the environment when required. Accordingly, even self-pollinated cells containing a repressible lethal gene can be selectively removed from a population by virtue of the conditionally lethal gene.

In an additional preferred embodiment, the repressible lethal gene linked to a conditionally lethal gene is linked additionally to a gene encoding a novel trait. A second DNA construct comprises a gene encoding a repressor capable of blocking the activity of the repressible lethal gene. The separate DNA constructs are introduced into the same cells. Linkage of the novel trait to the repressible lethal gene ensures that the novel trait can not persist in related species by transfer through sexual crossing.

In a still further embodiment, the DNA constructs comprising the repressible lethal gene and the repressor gene are within a single recombinant DNA molecule which is

introduced into the plant cell. The single recombinant DNA molecule further contains sequences recognized by a site specific recombinase or transposase. Recombinase or transposase activity results in the removal of the repressor gene from the inserted recombinant DNA. As an element of this embodiment, the repressor gene is reintegrated to an independently segregating locus; in particular, to the same locus on the opposite chromosome of a homologous chromosome pair. The DNA constructs that may be employed within the scope of this embodiment are illustrated in Figure 2.

In another preferred embodiment, DNA constructs are introduced into a plant cell, comprising two repressible lethal genes and two functionally distinct repressors for the repressible lethal genes. The genes are preferably arranged so that the first repressible lethal gene is linked to the repressor capable of repressing the second repressible lethal gene, and the second repressible lethal gene is linked to the repressor capable of repressing the first repressible gene, as illustrated in Figure 3. Optionally, the constructs comprise a single recombinant DNA molecule which is introduced into the plant cell. The single recombinant DNA molecule contains sequences recognized by a site specific recombinase or transposase, whose activity results in the removal of the first repressible lethal gene and the second repressor from the recombinant DNA. As an element of this optional embodiment, plants are selected wherein the first repressible lethal gene and the linked second repressor gene are reintegrated an independently segregating locus.

The foregoing embodiments rely on random insertion of the DNA constructs to loci that segregate independently. However, for some applications a means to introduce the recombinant DNA to a specific locus may be desirable. Accordingly, the present invention provides methods to target the recombinant DNA to a specific locus.

The use of a site specific recombinase to introduce recombinant DNA to a locus previously established in the plant genome is contemplated. A recombinase target DNA sequence recognized by a site specific recombinase is inserted into the plant genome by standard transformation procedures. The plant is made homozygous for the target DNA sequence by known methods such as selfing and selection or anther or isolated microspore culture. Alternatively a plant homozygous for said inserted sequence can be made directly by transformation of haploid cells or tissue, followed by chromosome doubling.

The appropriate recombinase expressible in plant is inserted by any of several methods such as transformation, microinjection, electroportation, etc. into plant cells homozygous for the target DNA sequence. The plant cells are then independently re-transformed with DNA constructs comprising either the repressible lethal gene or the repressor gene. These DNA constructs have been modified to include site specific recombinase recognition sequences such that the DNA construct can be inserted into the pre-existing recombinase target DNA sequence. Accordingly, plant lines are recovered that contain either the DNA construct comprising the first repressible lethal gene or the first repressor gene. By crossing said lines,

plants may be recovered that contain both introduced DNA constructs (repressible lethal gene and repressor) at the same genetic locus on opposite chromosomes of a homologous chromosome pair.

Accordingly the site-specific insertion method comprises preparation of DNA constructs comprising a repressible lethal gene and in some embodiments a dominant conditionally lethal gene. The method also comprises preparation of a repressor gene which can be inserted concomitantly or independently of the lethal gene. The repressible lethal gene is repressed by the repressor encoded by the repressor gene, conveniently located at a chromosomal site that segregates independently of the inserted repressible lethal gene. It is within the scope of the present method to employ site-specific recombination as a means to target repressor and repressible lethal genes to specific sites within the plant genome, in particular to those sites at which specific recombinase recognition sites have been inserted. An illustration of the DNA constructs and steps that may be employed in this embodiment of the invention are shown in Figure 4.

The invention provides methods and compositions that allow the genetic purity of transgenic plants to be maintained by simple self pollination in open pollinated crops. No intervention is required. The invention further provides methods for the convenient preparation, propagation and husbandry of plants containing the recombinant DNA. Genetic compositions are provided for use in open pollinated and hybrid plant production systems. Illustration of the utility of the method as employed with

open pollinated crops such as *Brassica napus* oilseed is shown in Figure 5, illustration of the utility of the method as employed with hybrid crops such as maize is shown in Figure 6.

During the production of pollen, the repressible lethal gene is segregated from the repressor gene, in accordance with the genetic schemes described above. Subsequently any out-crossed plants (i.e. those plants that have inadvertently received pollen that carries the repressible lethal gene) cannot form viable seed because the newly formed seed contains no repressor to repress expression of the lethal gene. The lethal gene is repressed in selfed plants because these plants retain both lethal and repressor genes. For those embodiments which further comprise a conditionally lethal gene linked to the repressible lethal gene, plants containing these genes can be eliminated by application of a chemical or physiological stress to activate the conditionally lethal gene.

The present invention provides methods and compositions for the production of recombinant plants with substantially reduced or zero risk of gene transfer via crossing. In some embodiments, the plants can be safely and specifically removed from the growing site by application of an inexpensive and environmentally benign chemical.

The invention is well suited to the production of crop plants for large scale agricultural and industrial applications where the potential contamination of other commercial productions of the same species, via cross

pollination or volunteer seed, is to be avoided. The invention further provides a mechanism of safe use and environmental protection for recombinant plants that may cause environmental damage by invasion of other habitats or that may spread their transgenes by crossing with wild weedy relatives.

The present invention provides specifically a method for producing crop plants as heterologous protein producers, without risk of contaminating other commercial productions of the same species.

The invention further provides a means to control the introgression of alien germplasm into commercial plant varieties and to maintain genetic purity of lines comprising the introduced genes. It is noted that the DNA constructs comprising these genes can be used with or without being linked to a novel trait gene to provide a means of ensuring genetic purity during seed production or production of the commodity.

For some crops, such as self-incompatible crops, the invention improves hybrid seed production via self-incompatibility. In this particular embodiment of the invention, a self-incompatible female parent is modified to carry the repressible lethal gene but not the repressor gene. The female line is unable to form viable seed. Crossing this self-incompatible female parent with pollen that carries a repressor gene results in the production of viable hybrid seed that carries both the repressible lethal gene and the repressor gene. Linkage of a novel trait such as insect resistance to the repressible lethal gene would

further prevent the dissemination and persistence of the trait in related species.

The use of repressible lethal genes in self-incompatible crops eliminates the problems of breakdown of self-incompatibility in the female parent often seen in commercial seed production. This breakdown problem leads to self-seed contamination of the hybrid seed. By using repressible lethal genes, self-seed is not possible on the female parent since it lacks the repressor and is self-incompatible. A convenient means to maintain the female line (such as use of a repressor inducible under certain conditions) can be employed to increase the number of female parents. Alternatively, the line can be clonally propagated. Current mechanisms to overcome self-incompatibility include elevated carbon dioxide and other stress treatments. It is within the scope of the invention to use promoters that are inducible under the same conditions as those used to overcome self-incompatibility, as this provides a particularly convenient means to increase seed production of the female parent. The method is particularly useful for production of *Brassica* vegetable crops where self incompatibility is commonly applied.

The following terms are defined and used within the scope of this invention.

Alien germplasm: a gene or combination of genes or genetic traits which is not part of the specific genetic makeup of an individual crop plant or variety.

Blocking or "blocks": the inhibition of a lethal gene activity by a repressor; blocking can include: the

prevention of RNA transcription by binding of a repressor to a specific DNA sequence, binding of an antisense RNA or ribozyme to a primary RNA or mRNA transcript, binding of an inhibiting factor to a lethal gene product such as a RNase or protease inhibitor binding to a toxic ribonuclease or toxic protease. Any method which prevents the expression of a lethal phenotype can be considered as "blocking" the lethal phenotype.

Conditionally lethal gene: a gene which confers on a plant cell a phenotype which renders the plant cell sensitive to an agent, said agent may be genetic or chemical in nature, said sensitivity ultimately leading the death of the plant cell.

Constitutive promoter: a DNA sequence capable of causing gene expression in substantially all plant cells, tissues and organs.

De-repressed lethal gene: a lethal gene that expresses the lethal phenotype due to the absence of a functional repressor.

Gene: a DNA expression cassette comprising a transcribed region under the control of a promoter further comprising a transcription termination signal.

Inducible promoter: a DNA sequence capable of causing gene expression in response to a chemical, physical or environmental inducer.

Introgression: the undesired movement of a gene or genes through sexual crossing, usually by pollen, from a plant

which is not intended to be the pollen donor for the formation of seed.

**Lethal gene:** a gene, that when expressed in a plant cell ultimately leads to the death of the plant cell.

**Lethal gene activity:** a genetic activity that leads to plant cell death. A lethal gene activity can be due to a single gene or can also be the result of the combined expression of more than one gene.

**Oncogene:** a gene encoding an enzyme involved in tumor formation or abnormal plant growth as a result of infection of susceptible plants by *Agrobacterium sp.* Known oncogenes include those comprising the *tmr* and *tms* loci of the T-DNA region of the Ti plasmid.

**Outcrossing:** the movement of pollen from a plant of one genetic type to a sexually compatible plant of a different genetic type. Outcrossing is generally used to describe the unintended movement of pollen; however in some plant species, particularly those which are self-incompatible, outcrossing is also used to represent the normal pollination events within a population of incompatible plants.

**Promoter:** a DNA sequence capable of causing gene expression in a plant cell.

**Repressor:** a gene product that can specifically block the activity of a gene product or expression of a gene. A repressor can be a protein, RNA or a specific substance produced by the activity of a repressor gene product.

Repressor binding site: a DNA sequence that is recognized specifically by a repressor, said recognition leading to the inhibition of expression of a gene containing said repressor binding site. In some embodiments a repressor binding site may also represent a RNA sequence which is recognized by a ribozyme or antisense RNA.

Repressor gene: a DNA expression cassette capable of expressing a functional repressor.

Repressed lethal gene: a lethal gene where the lethal phenotype that is a result of the gene activity is blocked by the presence of a repressor.

Repressible lethal gene: a lethal gene, the expression of which can be inhibited by the action of a specific repressor molecule.

Responsive to a repressor: a lethal gene or lethal gene product the lethal activity of which is inhibited in response to the presence of a repressor of lethal gene activity.

Selfing: self-pollination leading to the formation of a seed or reproductive structure.

Tissue specific promoter: a DNA sequence capable of causing substantial gene expression only in a specific plant cell, organ or tissue.

Transcribed region: a DNA sequence that is transcribed under the control of a promoter. Said DNA sequence may encode a RNA capable of being translated into a protein or

may encode a RNA that can specifically inhibit or prevent the expression of a gene.

Transcription termination sequence: a DNA sequence that defines the termination of transcription.

#### BRIEF DESCRIPTION OF THE FIGURES

Figure 1 illustrates a scheme wherein a repressible lethal gene and a repressor gene are located at independently segregating loci. The term "new trait" represents a linked recombinant DNA or a specific genotype which comprises a combination of one or more traits. PRO 1 represents the promoter controlling expression of the repressible lethal gene; a seed specific promoter is preferred. Sufficient expression of the repressor gene prevents expression of the lethal phenotype.

Figure 2 illustrates a DNA construct comprising both the lethal gene and the repressor gene. The repressor gene can be specifically targeted to a new chromosomal location by the use of a site specific recombinase or transposase. The transposase or recombinase recognition sequences allow the repressor gene to be re-located, in the presence of active recombinase or transposase enzyme, to a locus which segregates independently of the repressible lethal gene.

Figure 3 illustrates DNA constructs comprising two repressible lethal genes and two independent repressor genes. The new trait or traits can be linked to one or

both or the repressible lethal genes. The repressor genes are functionally distinct, i.e. they act independently. The repressible lethal genes may encode the same or different repressible lethal gene activity. PRO 1 and PRO 2 may be the same or different promoters; seed specific promoters are preferred.

Figure 4 illustrates the scheme for producing plants containing a repressible lethal gene where the repressor and lethal genes are located on opposite chromosomes of a homologous chromosome pair. A site-specific recombinase targets the repressor and lethal gene constructs to opposite sister chromosomes of a homologous chromosome pair. The "Target Recombinase Sequence" may further comprise an inactive selectable marker which is activated upon insertion of the construct.

According to this scheme, an elite parental line is transformed with a target recombinase sequence. Hemizygous plants are recovered and converted to homozygous state. The plants are re-transformed with a repressible lethal gene or a repressor gene flanked by recombinase target sequence(s). Plants are recovered that comprise randomly integrated SL or R. Recombinase function is then used to specifically excise and insert SL or R into the target recombinase sequence present on the target chromosome pair. Plants are recovered which contain SL and R on opposite sister chromosomes of a chromosome pair.

Figure 5 illustrates the use of a repressible lethal gene in self pollinating crops. Use of a conditionally lethal gene is optional.

In this figure, Recombinant DNA 1 is Repressible Seed Lethal (SL) and Conditional Lethal in Other Tissues (CL). Recombinant DNA 2 is a Repressor.

Figure 6 illustrates the use of a repressible lethal gene method in hybrid crops. Use of a conditionally lethal gene is optional.

In this figure, Recombinant DNA 1 is Repressible Seed Lethal (SL), Conditional Lethal in Other Tissues (CL), also including an Inducible Repressor (IndR). Recombinant DNA 2 is Non-Lethal Repressor(R).

Figure 7 illustrates the isolation of the lethal genes oncogenes 2 (figure 7a) and 1 (figure 7b) from the Ti plasmid pTi15955 of the *Agrobacterium tumifaciens* strain ATCC 15955.

Figure 8 illustrates the construction of the seed specific promoter, the phaseolin promoter, modified to contain a bacterial repressor binding site. The vector containing this modified promoter is pPHAS<sub>tet1</sub>.

Figure 9 illustrates the construction of a plant transformation vector comprising: 1) the oncogene 1 of *Agrobacterium tumifaciens* Ti plasmid pTi15955 under the control of the phaseolin promoter modified to contain a bacterial repressor binding site (figure 9a) and, (2) the conditionally lethal gene, oncogene 2, under the control of its native promoter (figure 9b).

Figure 10 shows the germination of wild-type (WT) seeds compared to seeds containing a repressible seed lethal gene without a repressor gene (the seed lethal or SL phenotype).

Figure 11 shows wild-type plantlets, as well as plantlets of a segregating population of plants containing a repressible seed lethal gene germinated under selective and non-selective conditions.

Figure 12 provides a comparison of plants containing a repressible seed lethal gene (A); plants containing a repressor gene (B); plants containing a repressible seed lethal gene and a repressor gene (C); and wild-type plants (D).

#### DESCRIPTION OF THE SPECIFIC EMBODIMENTS

In accordance with the subject invention, methods and compositions are provided for a novel means of producing transgenic plants wherein the transfer and persistence of recombinant genes via pollen from said plants to other cultivars or related species is substantially reduced. Additionally, the methods permit the production of self pollinating plant lines which carry DNA constructs that restrict outcrossing of the germplasm and, furthermore, restrict the introgression of alien germplasm even in sexually compatible plant species.

Accordingly, the method provides genetic isolation and identity preservation of the germplasm which contains recombinant molecules comprising repressible lethal genes and repressor genes. The method further allows a means to remove recombinant plants from any

growing location by application of a chemical agent or exposure of the plants to a physiological stress.

In a first embodiment, the invention provides a method comprising:

I.) Preparing a first DNA expression cassette that comprises, in addition to the DNA sequences required for transformation and selection in plant cells, a DNA sequence that encodes a product that is harmful or disruptive to cells such that death of cells and ultimately death of the entire plant occurs (a lethal gene). Expression of the lethal gene is regulated by an appropriate promoter, preferably a seed specific promoter. Said lethal gene construct additionally comprises DNA elements responsive to a repressor wherein expression of the lethal gene activity is blocked in the presence of said repressor molecule. A gene encoding a trait of interest (novel trait) can be linked to the lethal gene;

II.) Preparing a second DNA expression cassette that comprises, in addition to the DNA sequences required for transformation and selection in plant cells, a repressor gene that encodes a repressor molecule capable of blocking the expression of the lethal gene activity contained in the first DNA expression cassette. Expression of the repressor gene is regulated by a promoter active in plant cells, preferably a promoter that is expressed in all plant cells, more preferably a promoter that is expressed at a level and time sufficient to inhibit the expression of the lethal gene, and,

III.) Inserting the recombinant DNA described in (I) and (II) into a plant cell capable of being transformed, regenerating the cell into a whole plant, and recovering a plant which contains the DNA of (I) and (II) at positions in the plant genome wherein the DNA of (I) and (II) assort independently during meiosis.

The gene encoding a "novel trait" can be any recombinant protein or peptide of interest. Typically this "novel trait" is a heterologous protein of commercial interest or a protein that confers an agronomically useful trait such as herbicide tolerance. Transfer of the novel trait through crossing with native or cultivated sexually compatible plants which lack the repressor is limited because the lethal phenotype appears in the seed, leading to abortion of seeds which have received the novel trait gene. It is further contemplated that the lethal gene activity can comprise a single encoded product or two or more independent gene products that act cooperatively to express the lethal phenotype.

To maximize independent assortment, commonly referred to as "segregation", of the repressible lethal DNA and repressor constructs during meiosis, the recombinant DNA molecules are located on different chromosomes. Standard methods of transformation are known to result in random insertion of recombinant DNA within the plant genome; thus it is expected that in the majority of plants the recombinant DNAs will be located on different chromosomes. Independent assortment of genes and the location of inserted DNA are determined by simple well known methods.

Seed increases of plants with the repressible lethal and repressor constructs located on different chromosomes can be made by simple selfing in isolation, provided that the plants are homozygous for the two recombinant DNA constructs. Such homozygous plants can be obtained by selfing primary transformants, or by anther or microspore culture if the transformation procedure is carried out with diploid tissues. Alternatively, such plants can be obtained directly via transformation of microspores or other haploid cells followed by chromosome doubling. It is apparent to those skilled in the art that plant lines homozygous for both the repressible lethal gene and the repressor can be obtained by crossing isogenic transformed plant lines comprising either the repressible lethal gene or the repressor. Alternatively a combination of simple tissue culture techniques such as anther culture and sexual crossing can be employed to recover plants homozygous for both inserted DNAs.

Said homozygous plants described above may be grown on a commercial scale as an open-pollinated crop. The out-crossing of such plants to non-recombinant sexually compatible plants produces a first generation of plants heterozygous for the recombinant traits. In subsequent generations of out-crossed plants the independent segregation of genes during meiosis will result in a rapid decline in the incidence of plants expressing the novel trait. Additionally a variation of the method is contemplated such that the repressible lethal-novel trait construct further comprises a conditionally lethal gene. Plants comprising such a gene construct may be removed if

required by activating the lethal phenotype, e.g. by chemical spray.

The homozygous plants described above may be grown on a commercial scale as a hybrid crop if such plants also comprise a pollination control system that allows hybrid seed formation. Such a pollination control system could be any of the known types of male sterility systems such as cytoplasmic male sterility, self-incompatibility or genic male sterility. Additionally male sterility may in some species be achieved by mechanical means or may result from the application of chemicals that specifically kill pollen (gametocides). The choice of the appropriate system will vary with the individual crop species. Such methods are well known to those skilled in plant breeding. The use of plants homozygous for the repressible-lethal and repressor recombinant gene constructs as either a male or female parent in a hybrid cross will result in hybrid seed heterozygous for the recombinant DNA constructs. Segregation of these constructs in the F<sub>2</sub> and subsequent generations will result in the rapid loss of plants comprising the introduced novel recombinant trait.

Random insertion of recombinant DNA will on some occasions result in the incorporation of said recombinant DNAs on opposite chromosomes of a homologous chromosome pair. The frequency of occurrence of such events is dependent on the number of chromosome pairs comprising the genetic constitution of a given plant and will occur with greatest frequency in plants with small numbers of chromosome pairs. The introduction of the recombinant DNA constructs to different members of a homologous chromosome

pair has the advantage that during meiosis, segregation of the repressible lethal-novel and repressor constructs occurs immediately and completely such that, provided that recombination due to crossing over has not occurred, no plants containing both constructs are formed as a result of out-crossing. This particular variation of the invention is particularly suited to development of recombinant crops wherein the trait of interest, (e.g. production of hormones or other pharmaceutically active molecules) needs to be very tightly controlled.

Seed increases of plants wherein the recombinant DNA constructs have inserted into different members of a homologous chromosome pair require that the plant cells are essentially heterozygous with respect to the repressible lethal gene and the repressor, to ensure repression of the lethal phenotype. This can easily be achieved by linking the repressible lethal gene to a selectable marker gene that confers resistance to a specific chemical. The use of herbicide resistance genes for the maintenance and selection of plants carrying specific recombinant traits is well documented in the literature and can be employed in the present invention. Any gene that confers field level resistance can be used. It is also possible for a chosen gene, such as the phosphothricin acetyl transferase (pat) gene conferring tolerance to phosphinothricin, to be used for selection during transformation. Plants comprising said genetic constructs are selfed and seed grown out under field conditions and sprayed with herbicide. Plants homozygous for the repressible-lethal novel trait (25%) will be killed by the action of the lethal gene in the

absence of the repressor. Plants homozygous for the repressor gene (25%) will be killed by the action of the herbicide. In contrast, plants containing both the repressible lethal-novel gene and the repressor gene (50%) will be unaffected. Linkage of a novel trait gene to the repressible lethal gene ensures that the novel trait gene can not form viable seed by inadvertent transfer of pollen to any unintended sexually compatible species. Persistence of the novel trait in unintended plant populations is therefore completely restricted.

For some applications, control of spread of the novel trait is optimal if two repressible lethal genes and repressor genetic constructs are employed.

According to this aspect of the invention, methods and compositions are provided for a novel means of producing transgenic plants that contain two recombinant repressible lethal gene constructs. All plants comprising recombinant DNA resulting from outcrossing of the transgenic plant are rapidly eliminated from the environment. The first repressible lethal gene construct comprises a lethal gene and a repressor gene that blocks the expression of a second repressible lethal gene and optionally a gene encoding a novel trait of interest. The second repressible lethal gene construct comprises a second lethal gene and a repressor gene that blocks the expression of the first repressible lethal gene. Cells containing both genetic constructs produce two types of repressor molecules; hence both lethal genes remain in a repressed state. Segregation of the genetic constructs during meiosis results in separation of repressor and lethal genes

resulting in ultimate death of all plants containing any recombinant DNA from the plant which originally contained the two repressed lethal genes.

Thus, in accordance with another aspect of the invention, methods are provided for a novel means of producing transgenic plants, comprising:

I.) Preparing a first DNA expression cassette that comprises, in addition to the DNA sequences required for transformation and selection in plant cells, a first lethal gene. Expression of the first lethal gene is regulated by an appropriate promoter, preferably a seed specific promoter. This first lethal gene expression cassette contains a first repressor responsive site, allowing expression of the lethal gene to be inhibited by a first repressor molecule. Optionally, linked to the first DNA expression cassette is a third DNA expression cassette comprising a dominant conditionally lethal gene and a fourth DNA expression cassette comprising a second repressor gene encoding a second repressor that is functionally distinct from the first repressor molecule and is capable of inhibiting expression of a second lethal gene. A gene encoding a novel trait may also be included;

II.) Preparing a second DNA expression cassette that comprises, in addition to the DNA sequences required for transformation and selection in plant cells, a first repressor gene that encodes a first repressor molecule capable of inhibiting the expression of the lethal gene contained in the first DNA expression cassette. Linked to the second DNA expression cassette is a fifth DNA

expression cassette comprising a second repressible lethal gene, the expression of which is repressed by the second repressor molecule contained in fourth DNA cassette; and

III.) Inserting the recombinant DNA described in (I) and (II) into a plant cell capable of being transformed, regenerating the cell into a whole plant, and recovering a plant which contains the DNA of (I) and (II) at positions in the plant genome wherein the DNA of (I) and (II) segregates during meiosis and outcrossing.

The first, third or fourth DNA cassette can be linked to a gene encoding a novel trait such as but not limited to, a recombinant protein or peptide of commercial or agronomic interest. The resultant transgenic plants would carry a trait whose capacity to persist in native or cultivated sexually compatible plants is substantially diminished. This is because the latter plants lack the repressor. Any seed resulting from the union would therefore express the lethal gene and be aborted. Accordingly, persistence of either recombinant DNA of (I) or (II) in an unintended genotype (i.e. not comprising the complete recombinant DNA complement) is inhibited. Plants which contain the first (I) recombinant DNA may also be discriminated by the use of the conditionally lethal marker gene.

It is further contemplated that the lethal gene activity can comprise a single encoded product or two independent gene products that act cooperatively to express the lethal phenotype. It is further noted that the conditionally lethal gene may comprise a product that can

act in both in cooperation with said repressed lethal gene to express the lethal phenotype or in response to exogenously applied substances that can be acted upon directly to cause the expression of the lethal phenotype.

The foregoing embodiments rely on the random insertion of DNA during the transformation process to achieve the placement of the two recombinant DNAs at loci which segregate during meiosis. This is achieved as a result of simple crossing and progeny analysis, or by mapping of the inserted DNA using any techniques widely practiced by plant breeders. Accordingly a desired genetic combination is obtained.

However, it is within the scope of the present invention to introduce simultaneously all the required DNA expression cassettes within a single molecule, and then use a transposase to transpose the desired cassette(s). Specific transposition can occur by providing the appropriate combinations and orientations of DNA sequences recognized by a recombination enzyme such as a transposase.

Known transposons and associated transposase activities include *Ac/Ds* and *En/Spm* elements from maize (e.g. see Federoff, N. Maize Transposable Elements. In Berg, D.E. and Howe, M.M. (eds) Mobile DNA, pp.375-411, American Society for Microbiology, Washington, D.C., 1989), *Tam-1* and *Tam-3* from snapdragon (e.g. see Sommer et al, Transposable Elements of *Antirrhinum majus*. In Plant Transposable Elements, O. Nelson, ed, Plenum Press, New York, pp.227-235, 1988), *Tnt-1* from tobacco (Pouteau, S. et al, Mol Gen Genet. 228:233-239, 1991), *Tph-1* from

petunia (Gerats A.G.M. et al, The Plant Cell. 2:1121-1128, 1991) and the Tst-1 element from potato (Koster-Topfer, et al, Plant Mol. Biol 14:239-247,1990).

Some transposons may have transposition characteristics that are of particular use in the present invention. For example, *Ds* elements have a tendency to transpose over relatively short distances on the same chromosome (Dooner and Belachew, Genetics 122:447-457, 1989, Dooner et al, The Plant Cell 3:473-482, 1991, Jones et al, The Plant Cell 2:701-707, 1990, Osborne et al, Genetics 129:833-844, 1991, Rommens et al, Plant Molecular Biology, 20: 61-70, 1992). Such a transposition pattern would facilitate recovery of a genetic combination where a transposed repressor is transposed to a site on the opposite chromosome of a chromosome pair that carries the repressible lethal gene.

Accordingly, use of a specific transposase enzyme to move a repressor gene to a genetic locus that segregates independently from the repressible lethal gene is provided as follows:

A DNA construct is modified to contain, in addition to a first repressible lethal gene, a repressor gene linked to the first repressible lethal gene. The repressor gene is further modified by having at its 3' and 5' ends specific DNA sequences recognizable by a transposase enzyme. The recognition sequences are oriented in such a fashion as to permit the excision of the repressor gene by a transposase. The transposase further catalyzes the reinsertion of the excised repressor gene to

a random location in the genome such that the repressor gene segregates independently from the first repressible lethal gene.

The transposase enzyme can be transiently introduced into the plant cell, or be placed under the control of an inducible promoter such that induction of transposition can occur. Alternatively, the transposase may be introduced by simple sexual crossing with an isogenic or near isogenic plant line which has been modified to express active transposase.

Alternatively, for those embodiments which employ the use of two repressed lethal genes and two independent repressors, the following method is provided as follows:

A DNA construct is modified to contain, in addition to a first repressible lethal gene linked to a second repressor, a second repressible lethal gene linked to a first repressor. The second repressible lethal gene and first repressor are linked together and bounded at the 3' and 5' end by specific DNA sequences recognizable by a transposase enzyme. The recognition sequences are oriented in such a fashion as to permit the excision of the linked second repressible lethal gene-first repressor gene sequence by a transposase enzyme. The transposase further catalyzes the reinsertion of the excised gene sequence to a random location in the genome such that the linked second repressible lethal gene - first repressor gene sequence segregates independently from the linked first repressible lethal gene - second repressor gene sequence.

The transposase activity can be provided by any means including transient expression of introduced DNA, direct injection of the transposase enzyme, or more preferably, by simple sexual crossing with an isogenic or near isogenic plant line which has been modified to express active transposase.

Simple crossing and selection allow the selection of plant lines that contain both repressed lethal genes but do not contain the transposase enzyme. Linkage of the transposase enzyme to an easily identifiable marker gene can facilitate selection of the desired genetic combinations. A desired combination comprises the repressed lethal gene and the repressor gene on opposite sister chromosomes of a chromosome pair.

It is within the scope of the invention to use site specific recombination sequences to obtain site-specific insertion of repressor and repressed lethal genes. Many site specific recombinases have been described in the literature ( Kilby et al., Trends in Genetics, 9(12): 413-418, 1993). Three recombinase systems that have been extensively employed: an activity identified as R encoded by the pSR1 plasmid of *Zygosaccharomyes rouxii*, FLP recombinase encoded by the 2 $\mu$ m circular plasmid from *Saccharomyces cerevisiae* and Cre-lox from the phage P1. All of these recombinase systems have been shown to function in heterologous hosts. For example R has been demonstrated to work in tobacco cells (Onouchi et al., Nucl. Acids. Res. 19(23):6373-6378, 1991). FLP has been shown to be functional in tobacco and *Arabidopsis* (Kilby et al., The Plant Jour. 8(5):637-652, 1995), and Cre-lox has

been shown to be functional in tobacco (Russell et al., Mol. Gen. Genet. 234:49-59, 1992, Odell et al., Mol. Gen. Genet. 223:369-378, 1990, Dale and Ow, Gene 91:79-85, 1990, Dale and Ow, Proc. Natl Acad. Sci. USA 88:10558-10562, 1991, Haaren and Ow, Plant Molecular Biology 23:525-533, 1993). It is within the scope of the present invention to target introduced DNA to a specifically defined locus using the integration function of site-specific recombinases.

The use of site specific recombinases for directing homologous recombination in higher cells is well documented. For example, Fukushige and Sauer (Proc. Natl. Acad. Sci. USA, 89:7905-7909, 1992) demonstrated that the Cre-lox homologous recombination system could be successfully employed to introduce DNA into a predefined locus in mammalian cells. In this demonstration a promoter-less antibiotic resistance gene modified to include a lox sequence at the 5' end of the coding region was introduced into Chinese hamster ovary cells. Cells were re-transformed by electroporation with a plasmid that contained a promoter with a lox sequence and a transiently expressed Cre recombinase gene. Under the conditions employed, the expression of the Cre enzyme catalyzed the homologous recombination between the lox site in the chromosomally located promoter-less antibiotic resistance gene and the lox site in the introduced promoter sequence leading to the formation of a functional antibiotic resistance gene. The authors demonstrated efficient and correct targeting of the introduced sequence; 54 of 56 lines analyzed corresponded to the predicted single copy

insertion of the DNA due to Cre catalyzed site specific homologous recombination between the lox sequences.

Use of the Cre-lox system to specifically excise, delete or insert DNA has been demonstrated in plants (Dale and Ow, Gene 91:79-85, 1995). The precise event is controlled by the orientation of lox DNA sequences. In *cis*, the lox sequences direct the Cre recombinase to either delete (lox sequences in direct orientation) or invert (lox sequences in inverted orientation) DNA flanked by said sequences, while in *trans* the lox sequences can direct a homologous recombination event resulting in the insertion of a recombinant DNA. Accordingly, within the present invention a lox sequence may be first introduced into the genome of a plant cell and regenerated to a whole plant. The lox sequence serves as an "anchor" or a recombinase target DNA sequence to permit the subsequent introduction of a recombinant DNA construct comprising a repressed lethal gene or a repressor gene or a combination thereof. Said lox sequence may be optimally modified to further comprise a selectable marker which is inactive but which can be activated by insertion of a sequence into the lox site. It is within the scope of the present invention to insert into a plant cell a promoterless marker gene linked to the lox sequence. The DNA which is to be subsequently inserted into the target lox site is modified to contain a promoter containing a lox site such that insertion of the DNA results in the joining of the promoter to the promoterless marker gene, thereby activating the marker gene. The Cre recombinase gene can be introduced simultaneously with the DNA insert into the plant such

that insertion of the recombinant DNA into the target lox site by homologous recombination abolishes expression of the Cre gene.

According to the present invention, site-specific insertion of recombinant DNA into plant comprises:

Inserting into the genome of a transformable plant a DNA construct comprising a DNA sequence recognized by a site specific recombinase (i.e. a recombinase target DNA sequence), and recovering a plant containing said sequence. The transformed plant is then made homozygous for the recombinase target DNA sequence by selfing and selection or by anther or microspore culture as described above.

Subsequently the homozygous plants are transformed independently with:

I.) A first DNA expression cassette that comprises, in addition to the DNA sequences required for transformation and selection in plant cells, (1) a sequence that can be recognized and used by a site specific recombinase to insert said DNA at a specific DNA sequence, and (2) a repressible lethal gene. The expression of the repressible lethal gene is regulated by an appropriate promoter, preferably a seed specific promoter. A gene encoding a novel protein or trait may be included as part of said first expression cassette;.

and,

II.) A second DNA expression cassette that comprises, in addition to the DNA sequences required for transformation and selection in plant cells, (1) a sequence that can be recognized and used by a site specific recombinase to insert said DNA at a specific DNA sequence, and (2) a repressor gene that encodes a repressor molecule capable of blocking the expression of the lethal gene activity contained in the first DNA expression cassette. Expression of the repressor gene is regulated by a promoter functional in plant cells, preferably a promoter that functions in all plant cells, and more preferably a promoter that functions at a level and time sufficient to inhibit expression of the lethal gene.

After transformation, plants are recovered which have integrated the sequences defined in (I) or (II) above into their genome. A recombinase function is introduced into the plants either in trans or by activation of a pre-existing recombinase gene to excise the DNAs described in I and II above and re-insert them at the recombinase target DNA sequence. Plants containing re-inserted recombinant DNA are recovered. These plants now contain either the repressible lethal gene or the repressor gene at the same locus.

Sexual crosses are carried out between the plants containing either the repressible lethal gene or the repressor gene. From those sexual crosses, plants are selected that contain both the repressible lethal gene and the repressor gene located at the same locus on opposite chromosomes of a homologous chromosome pair.

For some embodiments of the invention, it may be preferable to first transform a plant cell with the DNA encoding a recombinase enzyme which further comprises the recombinase target DNA sequence. The recombinase gene may be under the control of a constitutive or tissue specific promoter or a promoter whose expression can be conveniently regulated such that the expression of the gene and subsequent site specific integration of the repressed lethal and repressor genes can be induced at a specific time.

In accordance with another aspect of the subject invention, methods and compositions are provided for a novel means of producing recombinant plants that contain in addition to the fore-mentioned first and second DNA expression cassettes, a third DNA expression cassette that comprises a dominant conditionally lethal gene to allow plants containing the conditionally lethal gene to be killed by exposure to a chemical agent. Preferably the conditionally lethal gene is linked to the first DNA expression cassette.

The DNA construct comprising said linked first and third DNA cassettes may further comprise a target gene encoding a novel protein or trait. Such a novel trait cannot be transferred outside of the genotype into which it was introduced by crossing with native or cultivated sexually compatible plants. As these plants lack the repressor, any seed resulting from the union would be inviable. Plants containing the novel trait can also be discriminated by the use of the conditionally lethal marker gene. Accordingly, even in plant populations which may

have inadvertently received the repressor gene, the conditionally lethal gene may be used to eliminate plants which may comprise both repressor and repressed lethal gene.

It is further noted that the conditionally lethal gene may comprise a product which can act both in cooperation with said repressed lethal gene to express the lethal phenotype or in response to exogenously applied substances that can be acted upon to cause the expression of the lethal phenotype.

In the foregoing embodiments, segregation is used to limit the persistence or spread of a novel trait or germplasm to unintended populations.

In the most elemental form of the present invention, segregation of the repressible lethal gene, linked to a trait of interest, from the repressor gene blocks the formation of viable seed comprising the trait of interest in unintended populations through cross pollination. Under typical agricultural conditions, if the trait of interest is linked to a repressible lethal gene, under the control of a seed-specific promoter, then persistence of the trait in an unintended plant or plant population, is rapidly diluted by 75% per generation in plants capable of selfing. In this predictive model, by the F5 generation of unintended plant population that has been cross pollinated by a plant comprising a repressible lethal gene and a repressor, the persistence of the trait of interest linked to the repressible lethal gene in an unintended population approaches zero. Two simple genetic

models are presented, one for plants that are primarily self pollinating, e.g., *Brassica napus*, and plants that are primarily self-incompatible, e.g. *Brassica rapa*.

Simple Genetic Model for the System in a Selfing Plant

SL = Repressible Lethal Gene under the control of a seed-specific promoter linked to a gene of interest.

R = Repressor

Homozygous Repressible Seed Lethal,

Repressor Plant genotype: SL/SL, R/R

Crossed with an untransformed wild plant: -/-, -/-

Results in a hemizygous wild plant population

comprising hemizygous lines. SL/-, R/-

If this wild plant population is a selfing plant population the following progeny result:

Theoretical progeny analysis of a selfing hemizygous plant

Haploid gametes	SL, R	SL, -	-, -	-, R
SL, R	SL/SL, R/R <sup>1</sup>	SL/SL, -/R <sup>2</sup>	SL/-, R/- <sup>3</sup>	SL/-, R/R <sup>4</sup>
SL, -	SL/SL, R/- <sup>5</sup>	SL/SL, -/- <sup>6</sup>	SL/-, -/- <sup>7</sup>	SL/-, -/R <sup>8</sup>
-, -	SL/-, R/- <sup>9</sup>	SL/-, -/- <sup>10</sup>	-/-, -/- <sup>11</sup>	-/-, -/R <sup>12</sup>
-, R	SL/-, R/R <sup>13</sup>	SL/-, R/- <sup>14</sup>	-/-, R/- <sup>15</sup>	-/-, R/R <sup>16</sup>

Persistence of the gene linked to the SL trait in the population		Plants: With      Without	
<sup>1</sup> 1/16 are SL/SL, R/R homozygous.	.0625		.0000
<sup>6, 7, 10</sup> 3/16 carry only the seed lethal trait, they are reproductive dead ends	.0000		.1875
<sup>4, 13</sup> 2/16 carry a homozygous repressor, heterozygous SL, only half of the seed carries the SL gene	.0625		.0625
<sup>2, 5</sup> 2/16 carry a homozygous SL, hemizygous R, only half of the seed survives	.0625		.0625
<sup>11, 12, 15, 16</sup> 4/16 have no SL gene	.0000		.2500
2/16 are hemizygous for SL, homozygous for R, this represents a one half loss of the SL gene	.0625		.0625
Totals	.2500		.7500

Therefore the loss of the trait linked to the SL gene is 75% per generation in a selfing population. This is based on the loss of the trait with the expression of the SL in seed.

However, if the hemizygous plant is a predominantly outcrossing (or self-incompatible) plant, the persistence of a gene linked to the SL trait is considerably lower. This is shown below.

Simple Genetic Model for the System in an Outcrossing Plant

Homozygous Repressible Seed lethal,

Repressor Plant: SL/SL, R/R

Crossed with an untransformed wild plant: -/-, -/-

Results in a hemizygous wild plant population

comprising hemizygous lines. SL/-, R/-

Theoretical progeny analysis of a selfing hemizygous plant

Haploid gametes	SL, R	SL, -	-, -	-, R
-, -	SL/-, R/- <sup>1</sup>	SL/-, -/- <sup>2</sup>	-/-, -/- <sup>3</sup>	-/-, -/R <sup>4</sup>
-, -	SL/-, R/- <sup>5</sup>	SL/-, -/- <sup>6</sup>	-/-, -/- <sup>7</sup>	-/-, -/R <sup>8</sup>
-, -	SL/-, R/- <sup>9</sup>	SL/-, -/- <sup>10</sup>	-/-, -/- <sup>11</sup>	-/-, -/R <sup>12</sup>
-, -	SL/-, R/- <sup>13</sup>	SL/-, -/- <sup>14</sup>	-/-, -/- <sup>15</sup>	-/-, -/R <sup>16</sup>

Persistence of the gene linked to the SL trait in the population

Plants: With Without

1, 5, 9, 13 4/16 are SL/-, R/- hemizygous,

only 75% of the population can be

expected to carry a SL and R		
combination (4/16 x .75)	.0625	.1875
2, 6, 10, 14 4/16 carry only the seed		
lethal trait, they are reproductive		
dead ends	.0000	.2500
3, 7, 11, 15 4/16 have no SL gene	.0000	.2500
4/16 are hemizygous for R, no SL gene	.0000	.2500
<hr/>		
Totals	.0625	.9375

Therefore a plant population that is predominant outcrossing will rapidly lose a gene linked to the SL trait at a rate of 93.73% per generation.

It is clear from these models that the SL trait confers a selective disadvantage for maintenance of a gene encoding a trait of interest linked to the repressible lethal gene in an unmanaged population (i.e. populations where the combination of repressible lethal gene and repressor are not maintained). It should be noted that unmanaged populations can include sexually compatible wild species as well as sexually compatible cultivated species.

In one embodiment, the repressible lethal gene is expressed by a seed specific promoter. This allows for sexual crossing of independently transformed repressible lethal and repressor lines. By using the repressible

lethal line as a female parent, only seed derived from introduction of the repressor gene will be formed, confirming the complete repression of the seed lethal trait. In those seeds where repression is incomplete and hence commercially of limited value seed abortion will occur. Accordingly, this embodiment of the method provides a convenient means to select via conventional crossing the most useful genetic compositions.

A seed specific promoter also confers certain advantages over a constitutive promoter for the regulation of the lethal trait. First, plants that contain the seed lethal trait can be easily converted to homozygous lines after the introduction of the repressor gene, as follows. A plant grown from a seed formed by the sexual introduction (or simultaneous introduction) of the repressor and repressible seed lethal gene can be subjected to anther or isolated microspore culture to directly recover a homozygous plant line. Alternatively, conventional methods such as crossing and selection of homozygous lines may be used to recover the appropriate plant lines.

In some instances the final product or plant line for commercial purposes may be the hemizygous combination of the repressible lethal gene and the repressor gene. According to one aspect of the invention, a method is provided for improving hybrid seed production in self-incompatible crops using self-incompatibility. Current methods of hybrid seed production often employ two self-incompatible lines, one of which acts as a "female" parent while the other functions as a "male" parent. Under ideal conditions seed formed on the female parent represents

hybrid seed formed as a result of pollination by the male parent which is also self-incompatible but compatible with the female parent. However, such a system is prone to contamination due to the inadvertent breakdown of self-incompatibility resulting in self-pollination on the female parent. Accordingly, use of a repressible lethal gene under the control of a seed specific promoter in the female parent blocks the formation of selfed seed since the seed lethal trait is expressed in selfed seed. Providing the repressor gene via the pollen of the male parent line allows formation of viable hybrid seed that carries a repressible lethal gene and a repressor gene.

The female parent can be increased by clonal propagation. Alternatively, since certain physical or chemical treatments can overcome self-incompatibility, use of an inducible repressor gene reponsive to these conditions would be advantageous. Although some of these conditions may occur naturally in the field and would be of limited value for practicing the invention, some conditions such as salt stress or high levels of carbon dioxide which are known to overcome self-incompatibility in *Brassica* species, could be employed. Alternatively, a repressor active only under certain conditions (e.g. a repressor that binds to a DNA sequence in the presence of a particular substance) could be utilized in increasing the seed of the female parent. Many such repressors may be found in the art. As described, a variety of means may be employed to increase the female parent when desired. it is noted that final product of a hybrid cross is seed that carries a repressible lethal gene from the female parent and a

repressor gene from the male parent. Said seed can further comprise a novel trait linked to said repressible lethal gene.

In the context of the present invention any mechanism that effectively blocks accumulation of the product of the lethal gene in a cell comprises repression. Such a mechanism may include the binding of a specific "repressor protein or factor" to a DNA region or "operator" within the promoter of said lethal gene. Examples in the art include but are not limited to bacterial repressors and associated DNA binding regions (operator DNA) such as the *Lac Z* repressor, the *tet* repressor, the class of repressor proteins that regulate sugar catabolism in bacterial systems (van Rooijen, R.J. and de Vos, W.M., *J. Biol. Chem.* 265:18499-18503, 1990), including *LacR*, *GutR*, *DeoR*, *FucR* and *GlpR*, or the *Agrobacterium* repressor known as *accR* that regulates the biosynthesis of agrocinopines and conjugal transfer (Bodman et al., *Proc. Natl Acad Sci USA* 89:643-647, 1992). Other sources of repressors can be employed including those found in fungi such as yeast or any other organism. According to the present invention, the repressor is capable of binding a specific DNA sequence present in a region of a plant promoter, said binding capable of substantially inhibiting expression of a DNA sequence under the control of said modified promoter.

It is understood that optimal expression of heterologous genes in plant cells may require certain modifications. Typically these include: alteration of the coding sequence to reflect the usual plant codon preferences; elimination of sequences that may be poorly

recognized by plant transcriptional or translational machinery; addition of translational enhancers or stabilizing sequences; and addition of DNA sequences encoding localization signals such that the protein encoded by said gene is correctly compartmentalized. Accordingly, for some repressors of bacterial origin, these modifications may be required in order to achieve sufficient expression levels of the repressor to allow for complete repression of the repressible gene.

Other DNA binding proteins that have been modified to bind strongly to specific DNA sequences, the so-called "transdominators", may also be employed as repressors. Other repressors may include antisense RNA directed to the lethal gene, or specific inhibitors of the product of the lethal gene. An example is "Barstar", a specific inhibitor of the ribonuclease Barnase which is toxic when expressed in plant cells.

It is contemplated that down-regulation of the lethal gene can be accomplished in some instances by the use of co-suppression, as long as segregation of the transgene responsible for co-suppression of the lethal phenotype restores the lethal phenotype. Accordingly repression in the context of this invention comprises any mechanism which reversibly inhibits the expression of the lethal phenotype.

The DNA encoding said repressible lethal gene additionally comprises a promoter region regulating the expression of said lethal gene. Although the preferred embodiment comprises a seed specific promoter, other

promoters are contemplated. Said promoter may be a constitutive promoter, an inducible promoter, or a tissue specific promoter and may comprise an operator sequence (repressor binding sequence) for binding a specific repressor protein such that in the presence of said repressor proteins transcription of said lethal gene is blocked. The choice of promoter will be apparent to those skilled in the art and will be a promoter that in particular is known to be expressed in the plant species in which the invention is to be employed.

In accordance with still another aspect of the subject invention, methods and compositions are provided for a novel means of producing recombinant plants that contain a conditionally lethal gene such that plants containing said gene and recombinant DNA molecules can be killed by exposure to a chemical agent. The chemical agent has no effect on other plants. This mechanism completely eliminates spread of the recombinant DNA to other cultivars of the same species and related species via pollen mediated out-crossing. Conditionally lethal genes have been described in the art and those that act directly upon a non-toxic substance to convert said substance into a toxic substance are contemplated within the scope of the present invention.

It is further understood that a conditionally lethal gene may also simply comprise a repressible lethal gene capable of de-repression by an exogenously applied substance or an artificial or naturally induced physiological stress. Accordingly, in one specific embodiment, a conditionally lethal gene comprises a lethal

gene activity which is repressed by the binding of a DNA binding protein. Repression can be lifted by a specific substance that abolishes the binding. An example includes the bacterial tet repressor, whose binding to the operator sequence is blocked by tetracycline. In this case, segregation de-represses the lethal gene activity during outcrossing or introgression, while the gene can be further utilized as a conditional lethal gene to eliminate plants containing the recombinant DNA constructs by exposure of the plants to tetracycline.

It is further understood that de-repression of the lethal gene activity can also be carried out by inhibition of the expression of the repressor gene. For example, antisense RNA or ribozymes capable of inhibiting the expression of the repressor gene can be employed. It is preferable to have such an "anti-repressor" gene under the control of an inducible promoter. Examples of inducible promoters that may be employed within the scope of the present invention include those inducible by a simple chemical such as the promoter of the 27kD subunit of the maize glutathione-S-transferase (GST II) gene (PCT/GB90/00110) or PR promoters such as PR-1a, PR-1b, PR-1c, PR-1, PR-Q, PR-S or the cucumber chitinase gene promoter, or the acidic and basic tobacco  $\beta$ -1,3 glucanase promoters. Numerous chemicals capable of inducing these and related promoters are described in EP89/103888.7.

Limiting the pollen-mediated movement of a target gene encoding a novel trait involves linkage of the target gene to a repressible lethal gene that is segregated away from the repressor gene in pollen after meiosis.

Activation of the repressible lethal phenotype occurs after segregation of the lethal gene from the recombinant DNA encoding the controlling or repressing element. Accordingly, elimination of the transfer of the target gene to unintended sexually compatible plants is achieved. The use of a seed specific promoter to control the expression of the repressed lethal gene leads to non-viable seeds which results from cross-pollination with pollen that carries the trait gene and lethal gene that expresses in the seed in the absence of the repressor. Use of a seed specific promoter to limit the expression of the repressible lethal gene also permits the production of pollen which ensures seed set on the recombinant plant.

Limiting the pollen-mediated movement of all recombinant DNA molecules including both the trait gene linked to the repressible lethal gene and the independently segregating repressor gene involves inclusion of a second repressible lethal gene as a component of the first repressor gene. In this scheme, both recombinant DNAs that segregate independently during meiosis carry a lethal gene; however, each lethal gene is repressed by a distinct repressor. Accordingly, the seed-specific lethal phenotype linked to the target gene is repressed by the independently segregating corresponding repressor gene while expression of the second repressible lethal gene linked to said independently segregating repressor gene is repressed by a second repressor gene now linked to the trait gene. The plant therefore carries two repressible lethal genes, each under the control of a functionally different repressor. Accordingly, one or the other or both lethal genes are

derepressed following meiosis and outcrossing. As a result, seed cells formed by outcrossing or introgression of alien germplasm are inviable.

Expression of the trait of commercial interest introduced by transformation may be regulated by a constitutive, inducible or developmentally regulated promoter that may be the same or different from the promoter regulating the lethal or conditionally lethal phenotype. The choice of promoter will vary in relation to the given commercial application.

For specific aspects of the present invention where the trait of commercial interest is the production of heterologous proteins that are to be isolated from plant tissues, a developmentally regulated promoter functional in developing seeds is a logical choice. Many different types of cell, tissue and developmentally regulated promoters are described in the literature from which those appropriate to the trait of commercial interest may be selected. Additionally, methods to discover and characterize new promoters that may be used in specific embodiments of the present invention are well known.

DNA encoding the novel trait can be a gene which gives rise to a detectable phenotype such as modified oil, meal, starch or other seed component. Alternatively, it may be a gene which confers a particular agronomic trait such as herbicide tolerance or insect or pest resistance. The gene may also encode a protein that imparts no detectable phenotype or a protein with pharmaceutical or industrially useful activity. The DNA encoding the novel

trait can be expressed under the control of a number of different promoters, depending on the trait. It is obvious to the skilled artisan that a number of strategies can be employed for the expression of a novel trait.

For preferred embodiments of the present invention wherein the recombinant target protein of commercial interest is to be produced in and recovered from plant seeds, the first expression cassettes includes a recombinant DNA sequence comprising a transcriptional and translational regulatory region specifically capable of expression in developing plant seeds, and more specifically seed embryo or other seed tissue capable of triglyceride storage, and a second recombinant DNA sequence encoding a chimeric peptide or protein comprising a sufficient portion of an oil-body specific protein to provide targeting to an oil body, the target protein of commercial interest and a transcriptional and translational termination region functional in plants. The chimeric peptide or protein may also comprise a peptide sequence linking the oil-body specific portion and the target protein of commercial interest that can be specifically cleaved by chemical or enzymatic means.

DNA expression cassettes may be so constructed that the DNA sequences comprising the transcriptional and translational regulatory regions and the DNA encoding both the target, repressor and lethal genes be linked by multiple cloning sites to allow for the convenient substitution of alternative target, repressor and lethal DNA sequences.

As preferred embodiments of the subject invention, the repressible lethal gene activity is the oncogenes 1 and 2 from the Ti or Ri plasmid of *Agrobacterium*. The activity of these two genes combined leads to the production of IAA and plant cell death.

Oncogene 1 encodes the enzyme Indole Acetamide Synthase (IAMS) that converts tryptophan, an amino acid normally found in plant cells to indole acetamide. The function of oncogene 1, that is the conversion of tryptophan (a endogenous amino acid contained within all plant cells) to indole acetamide is described by VanOnckelen et al., FEBS lett. 198, 357-360, 1986.

Oncogene 2 encodes the enzyme Indole Acetamide Hydrolase (IAMH) which converts indole acetamide to indole acetic acid. The function of gene 2, that is the ability to convert indole acetamide to indole acetic acid, was demonstrated by Tomashow et al., Proc. Natl. Acad Sci. USA 81, 5071-5075, 1984 and Schroder et al., Eur. J. Biochem. 138, 387-391, 1984. Specifically oncogene 2 in concert with oncogene 1 provide for the synthesis of the plant growth regulator indole acetic acid from tryptophan via a pathway found in bacterial cells but not in plant cells. Related oncogene activities are found in *A. rhizogenes*, *A. vitis* (Canaday, J. et al., Mol. Gen. Genet. 235:292-303, 1992) and *Pseudomonas savastanoi* (Yamada et al., Proc. Natl. Acad. Sci. USA, 82:6522-6526, 1985).

The preferred use of oncogenes is based on the known fact that they are naturally occurring activities that overproduce a substance normally found in plant cells

and, that unlike lethal activities associated with toxins such as diphtheria toxin A chain, ribonucleases such as Barnase and ribosome inhibiting proteins such as ricin and related toxins, the repression of the genetic activity need not be absolute. It has been suggested that exceeding low levels of expression, even one molecule per cell of powerful cytotoxic agents such as ricin can lead to cell death. It is noted that in order to use such powerful toxins within the scope of this invention, repression of the repressed lethal phenotype needs to be complete and methods are employed to achieve that level of repression by functional assay.

Although complete repression can be easily achieved within the scope of this invention, such as the use of DNA binding proteins or repressors, or specific inhibitors of toxin activity (Barnase and Barstar for example) the use of a lethal gene activity that over-expresses a growth regulator offers the opportunity to utilize a number of different repression schemes within the scope of the invention. Included are antisense RNA or ribozyme inhibition of the expression of the lethal genes, preferably targeted to gene 1 or gene 1 and gene 2; co-suppression, preferably using a gene encoding a homologous sequence to gene 1; or expression of an enzyme capable of metabolizing or conjugating excess IAA. Such enzymatic activities are known in the art.

The substrate for gene 2, indole acetamide, is not normally produced by plant cells. In addition to the conversion of indole acetamide, gene 2 is capable of the metabolism of other indole amides including the synthetic

chemical naphthalene acetamide resulting in the formation of the powerful auxin analog naphthalene acetic acid (NAA). Application of NAM (naphthalene acetamide) to plant cells expressing the gene 2 product IAMH produce lethal concentrations of NAA. Accordingly oncogene 2 can function as a conditionally lethal gene. However, within the scope of the present invention, oncogenes 1 and 2 preferentially comprise the lethal gene activity.

The use of both IAMS in combination with IAMH has been described as a means to selectively ablate pollen in methods of hybrid seed production (US Patent 5,426,041). The possibility of using recombinant non-native oncogene 2 alone as a conditionally lethal gene linked, in a random fashion, to a nuclear encoded male sterility, has been suggested as was the use of the same recombinant oncogene 2 to eliminate unwanted transgenic plants (US 5,180,873). However, the use of the oncogene 2 alone, without the activity of oncogene 1, fails to cause a lethal gene activity. Additionally the use of the oncogene 2 as a method to remove transgenic plants requires the application of a chemical agent in order to selectively eliminate cells containing the recombinant DNA.

By contrast, the present invention provides the inherent elimination of plants which have inadvertently received foreign DNA without the need for intervention. The method further employs the overexpression of a compound naturally found in plant cells to impart the lethal phenotype which ensures environmental safety. Accordingly the invention also provides a conditionally lethal phenotype when the oncogenes 1 and 2 are used to practice

the invention. In particular the unmodified, native oncogene 2 is employed.

In addition to oncogene 1 and 2, the use of oncogene 4 is contemplated. Oncogene 4 of the Ti plasmid of *Agrobacterium* sp. encodes the enzyme isopentyl transferase capable of synthesizing cytokinin, another natural plant growth regulator. Overexpression of cytokinin can lead to cell death and hence is a lethal gene activity within the scope of this invention. The growth of crown gall tumors on plants following infection by *Agrobacterium* sp. is thought to result from the overexpression of both cytokinins and auxins due to the combined activities of oncogenes 1 and 2, and oncogene 4.

It is within the scope of the present invention that the activity of both oncogenes 1 & 2 and oncogene 4 be repressed and employed. This would require repression of both lethal gene activities. De-repression would result in the overexpression of growth regulators leading to destruction of the normal activity of the plant cell and hence blocking the ability to produce seed or to reproduce. Accordingly, traits or germplasm linked to the oncogene(s) fail to persist in the de-repressed state.

It is further contemplated that repression of oncogenes 1, 2 and 4 can be accomplished without the need to modify one of all of the native genes. For those applications which do not employ the use of a seed specific repressible lethal gene, the native promoter of the oncogenes may be employed and combined with a repressor

molecule such as antisense RNA or ribozymes to inhibit the expression of the oncogene.

Although oncogenes may be used methods of the present invention are not limited by them. A variety of genes which confer lethal and conditionally lethal phenotypes can be employed within the scope of the invention, and said methods are not limited to oncogenes. Accordingly any gene which is capable of inhibiting proper functioning and/or growth and development of a plant cell is considered to be a lethal gene.

A number of strategies to functionally repress the activity of a lethal gene have been described. However, as more strategies have been described in the art, the invention is not limited by the foregoing described methods of repression. It is apparent to one skilled in the art that a variety of repression strategies may be employed within the scope of the present invention.

Any method of effecting transformation of cells and recovery of transformed plants (such as *Agrobacterium* mediated DNA transfer or biolistic methods) can be used to introduce the DNA constructs within the scope of the present invention. The invention is not dependent on the method of transformation. It is further noted that the introduction of the repressible lethal gene or repressor into various plant lines may also be practiced by inserting the recombinant DNAs concomitantly or in a stepwise fashion. Alternatively one may obtain the desired combination or repressible lethal and repressor genes by simple sexual crossing. In the instance where the genetic

constructs are to be transferred to sexually incompatible relatives tissue culture techniques such as wide crosses and/ or embryo rescue may be employed. A variety of techniques known to those skilled in the art may be employed to derive the combination of repressible lethal and repressor genes which provides the greatest utility within the scope of the present invention.

The following examples are set forth to illustrate the method and in no way limit the scope of the invention.

Example 1: Isolation of oncogene 1 and 2 from *Agrobacterium* Ti-plasmid pTi15955.

To isolate the oncogenes, the following steps were employed. The subclones p101 and p202, detailed in US 5,428,147 encompassing the DNA encoding oncogene 1 (p202) and oncogene 2 (p101) are used as a source of the genes. In order to isolate the genes, a combination of PCR to introduce convenient restriction sites and subcloning of native gene fragments is employed to derive oncogenes that can be conveniently inserted into plant transformation vectors.

To isolate a native oncogene 2, the following approach is used. The 5' region, including the native promoter of oncogene 2 is isolated by PCR amplification of the plasmid p101 with the following primers:

G2P1 (SEQ ID NO:1)

5' ATAGCATGCTCTAGATGTTAGAAAAGATTCGTTTTTGTG 3'

and, G2P2 (SEQ ID NO:2)

5' ATACCATGGCGATCAATTTTTTTGGCGC 3'

G2P1 contains a Sph 1 site (boldface) and a Xba I site (underlined) and corresponds to the complement of nucleotides 5808 - 5785 in the published sequence of pTi15955. G2P2 contains a Nco 1 site (boldface) and corresponds to nucleotides 5285 - 5309 in the published sequence of pTi15955. The use of G2P1 and G2P2 yields a fragment of 523 bp which represents the 5' region of the native oncogene 2, including the promoter modified to contain a Sph 1 and Xba I site at the 5' end of the promoter.

To isolate the 3' region of oncogene 2, including the native terminator structure, two PCR primers are used. The first primer used is:

G2P3 (SEQ ID NO:3)

5' ATAAAGCTTGAAAATTAAGCCCCCCCCCG 3'

and, G2P4 (SEQ ID NO:4)

5' ATAGGATCCGCATGCCAGTCTAGGTCGAGGGAGGCC 3'

G2P3 contains a Hind III site (boldface) and corresponds to the complement of nucleotides 3396 - 3371 of the published sequence of pTi 15955. G2P4 contains a Sph 1 site (boldface) and a Bam HI site (underlined) and corresponds to nucleotides 3237 - 3264 of the published sequence of pTi 15955. The use of G2P3 and G2P4 yields a

fragment of 164 bp which represents a portion of the 3' end of the native oncogene 2.

The plasmid p101 is digested with Nco I and Hind III to yield a fragment of approximately 1895 bp fragment of oncogene 2 which encompasses most of the coding region. The 523 bp fragment of the 5' end of the native oncogene 2 is digested with Nco I and ligated to the Nco I site of the 1895 bp fragment and the 164 bp 3' end of the gene is digested with Hind III and ligated to the Hind III site of the 1895 bp fragment. The reconstructed native oncogene 2 is then digested with Sph I and subcloned into the Sph I site of the common cloning vector pGEM-4Z (Promega, La Jolla, California). This vector is called pG2. DNA sequencing was used to verify the composition of this reconstructed DNA corresponding to the authentic DNA sequence of the native oncogene 2.

Isolation of oncogene 1 employs a combination of PCR to introduce convenient restriction sites and subcloning of a native gene fragment. To isolate the required fragments, the following approach is used. Convenient restriction sites at the 5' end of the coding region are introduced by PCR, employing the following two primers:

G1P1 (SEQ ID NO:5)

5' ATAATCGATATAGAAACGGTTGTTGTGGTT 3'

and, G1P2 (SEQ ID NO:6)

5' ATAAGATCTCGGGAAGCGACC 3'

G1P1 contains a Cla 1 site (boldface) and corresponds to nucleotides 5755 - 5775 of the published sequence of pTi 15955. G1P2 contains a Bgl II site (boldface) and corresponds to the complement of nucleotides 6028 - 6010 of the published sequence of pTi 15955. G1P1 and G1P2 are used to amplify a 273 bp fragment of oncogene 1 which is modified to contain a Cla 1 site at the 5' end of the coding region.

To isolate a 3' fragment of the coding region of oncogene 1, two primers are used to introduce convenient restrictions sites at the 3' end of the coding region.

G1P3 (SEQ ID NO:7)

5' AATGATATCTGAACTTTATGATAAGG 3'

and, G1P4 (SEQ ID NO:8)

5' ATAGAGCTCATCGATACTAATTTCTAGTGC GG TAGTT 3'

G1P3 contains a Eco RV site (boldface) and corresponds to nucleotides 7350 - 7372 of the published sequence of pTi 15955. G1P4 contains a Cla 1 site (boldface) and a Sac 1 site (underlined) and corresponds to nucleotides 8076 - 8056 of the published sequence of pTi 15955. The use of G1P3 and G1P4 results in a 732 bp fragment representing the 3' end of the coding region of oncogene 1.

In order to reconstruct a complete coding region of the oncogene 1, the plasmid p202 is digested with Bgl II and the 1697 bp fragment encompassing the partial coding region of the oncogene 1 is isolated. To the 5' end of

this fragment is added the 273 bp PCR fragment, digested with Bgl II, resulting in a partial oncogene 1 modified to contain a Cla I site at the 5' end of the coding region. To reconstruct the entire oncogene 1, the 726 bp PCR fragment representing the 3' sequences is digested with Bam HI and Sac I and the resultant fragment is ligated to the Bam HI site at the 3' end of the 1697 bp fragment, resulting in a reconstructed oncogene 1 with Cla I sites at the 5' and 3' ends of the coding region and a Sac I site at the 3' end of the coding region. These fragments are contained within the vector pBluescript (Promega, La Jolla, California). The resulting plasmid is called pG1. DNA sequencing was used to verify the composition of this reconstructed DNA corresponding to the authentic DNA sequence of the native oncogene 1.

A diagrammatic representation of the steps employed in the construction of pG1 and pG2 is shown in figure 7a and 7b.

Example 2: Construction of a phaseolin promoter with a bacterial repressor binding site.

In this example, the tetracycline (tet) operator DNA is introduced into the phaseolin promoter. In order to insert the tet operator sequence into the phaseolin promoter sequence, PCR is used to isolate the region of the promoter that corresponds the DNA sequence 5' to the native TATA box and a synthetic DNA sequence containing three copies of the tet operator sequence. The TATA box is ligated to the PCR fragment of the promoter resulting in the formation of a reconstructed phaseolin promoter

containing three copies of the tet operator sequence. The means by which this is accomplished is as follows and is shown in Figure 8.

The promoter region of the phaseolin gene (described in: Slightom, J.L., Sun, S.M. and Hall, T.C., Proc. Natl. Acad. Sci. USA 80:1897-1901, 1983) is isolated by PCR using the vector pAGM 219, kindly supplied by Dr. G. Cardineau of Mycogen Plant Sciences, San Diego, California. The plasmid pAGM 219 contains approximately 1600 base pairs of the promoter region of the phaseolin gene and the native termination region of the phaseolin gene. The region of the promoter 5' to the TATA box was isolated by PCR in preparation for the addition of a synthetic DNA sequence comprising the tet operator DNA and a TATA box.

The first PCR primer used was engineered to introduce a Csp45 1 site by a minor alteration of the nucleotide sequence in the native promoter sequence. The sequence of this primer is shown below:

SEQ ID NO:9

5'GGTGGTTCGAACATGCATGGAGATTTG 3'

The Csp45 1 restriction site is shown in boldface. The second primer used for PCR has the following sequence:

SEQ ID NO:10

5'CCGTATCTCGAGACACATCTTCTAAAGTAATTT 3'

A Xho 1 site is indicated in boldface. The PCR product obtained using these primers was called pPHAS and corresponds to nucleotides 128 - 833 of the DNA sequence of the phaseolin promoter of the lambda genomic clone AG- $\lambda$ PVPh177.4 ( $\lambda$ 177.4), (Slightom, J.L., Sun, S.M. and Hall, T.C., Proc. Natl. Acad. Sci. USA 80:1897-1901, 1983). A synthetic tet operator sequence was added to this fragment by joining the synthetic duplex DNA to the Csp45 1 site in the PCR product. The synthetic operator DNA sequence also comprises a Cla 1 site at the 3' end of the sequence. The top strand of the synthetic DNA has the following sequence:

SEQ ID NO:11

5' *TTCGAAGACTCTATCAGTGATAGAGTGTATATAAGACTCTATCAGTG*  
*ATAGAGTGA*ACTCTATCAGTGATACAGTATATCGAT 3'

Which comprises 3 copies of the operator DNA (boldface), a TATA box (underlined), a Csp45 1 site at the 5' end (italics and underlined) and a Cla 1 site at the 3' end (italics and boldface). A bottom strand fragment is used which has the following sequence:

SEQ ID NO:12

5' CGATATACTGTATCACTGATAGAGTTCACTCTATCACTGATAGAGTC  
TTATATACACTCTATCACTGATAGAGTCTTCGTT 3'

Which comprises a complementary strand to SEQ ID NO:9 and contains a Cla 1 cohesive end, identified in boldface. The duplex DNA is referred to a "top" DNA and is ligated to the Csp45 1 and Cla 1 cut pPHAS and clones containing the inserted "top" DNA are chosen. This vector

is referred to as pPHAStet1. DNA sequencing was used to verify the composition of this reconstructed DNA.

Example 3: Construction of a plant transformation vector comprising an oncogene 1 under the control of a modified repressible phaseolin promoter linked to an active oncogene 2.

In this example, formation of a plant transformation vector is described which comprises a repressible lethal gene activity resulting from the combined activity of two genes, oncogene 1 (placed under the control of the modified phaseolin promoter) and native oncogene 2. When expressed, the two oncogenes in this vector lead to the formation of excess IAA, killing plant cells in which the lethal gene activity is expressed. To construct this vector, the following steps are employed.

The plasmids pPHAStet1 and pG1 are digested with Cla 1 and the coding region for oncogene 1 is inserted into the Cla 1 site of pPHAStet1 to produce the vector pPG-1. The phaseolin terminator contained in the plasmid pAGM 219, comprising the nucleotide sequences starting at 36 bp downstream of the protein termination codon TGA comprising a Sac I site extending approximately 1400 nucleotides ending at a Pst 1 site, was further modified to introduce a Pst 1 site at the position of the Sac 1 site. This modification allows the entire terminator sequence to be excised from pAGM 219 as a Pst 1 fragment of approximately 1400 bp. This 1400 bp terminator fragment is inserted into the Pst 1 site of pPG-1 to form pPG-2, which comprises the phaseolin promoter modified to contain three tet operator

DNA sequences, the coding region of oncogene 1 and the phaseolin terminator sequence.

The Sph 1 fragment of pG2 containing the native oncogene 2 is inserted into the unique Sph 1 site of pPG-2 to form the vector pGG-1. The vector pGG-1 is digested with Xba I to excise the entire insert comprising the phaseolin promoter modified to contain three tet operator DNA sequences, the coding region of oncogene 1 and the phaseolin terminator sequence, and the native oncogene 2 under the control of its own promoter. This Xba I fragment is inserted into the Xba I site of the plant transformation vector Binter.

The plant transformation vector Binter comprises the widely used plant transformation vector Bin 19 (Clontech, Palo Alto, California) into which has been inserted a nos terminator fragment as follows. The nos terminator contained in the vector pBI 221 (Clontech) was first isolated as a Sac I - Eco R1 fragment and cloned into pGEM-4Z at the Sac I and Eco R1 sites. This plasmid, pGEMter, was digested with Hind III and Eco R1 to remove the nos terminator and the entire polylinker and inserted into Hind III - Eco R1 digested Bin 19. This vector is called Binter.

Binter containing the Xba I fragment comprising the phaseolin promoter modified to contain three tet operator DNA sequences, the coding region of oncogene 1 and the phaseolin terminator sequence, and the native oncogene 2 under the control of its own promoter is referred to as

pGG-2. The steps employed to construct pGG-2 are illustrated in Figure 9.

Example 4: Transformation of plants to introduce a repressible seed lethal gene under the control of a modified phaseolin promoter.

In this example, tobacco plants are transformed with the vector pGG-2 using standard *Agrobacterium* mediated transformation to obtain plants which comprise a repressible seed lethal gene activity. Plants obtained were grown in the greenhouse and allowed to flower. Selfed seed was collected as well as seed derived from reciprocal crossing with wild-type tobacco. Tobacco plants that carry the repressible seed lethal gene but do not carry a repressor form seeds that are not viable as judged from germination assays. This is illustrated in Figures 10 and 11. In Figure 10, a photomicrograph of germinating tobacco seeds comprising the seed lethal vector and wild-type tobacco seeds are compared. Seeds were surface sterilized and plated on basic media. Seeds were allowed to germinate. Wild-type seeds are marked "WT" and seeds comprising the seed lethal gene are identified as "SL". Wild-type seeds geminated normally, had normal cotyledons and true first leaves. "SL" plantlets had thickened cotyledons, lacked true first leaves and showed typical signs of auxin overproduction, including callus and excessive rooty phenotype. In order to provide evidence that the normal plantlets or the "WT" plantlets were devoid of the seed lethal construct, seeds from the same transformant were planted on media with and without kanamycin. Following germination on kanamycin containing

media, all of the normal plantlets became bleached and died, indicating that they did not contain the seed lethal construct (that is linked to the kanamycin gene in the pGG-2 transformation vector) and hence were sensitive to kanamycin. This is shown in Figure 11. In this experiment, the plate marked "-kan" shows a mixture of seed lethal and wild-type tobacco plantlets from seed germinated on media without kanamycin. In the plate labeled "+kan", a similar sampling of seeds of seed lethal and wild-type were germinated in the presence of 300 ugs per ml of kanamycin. The seed lethal phenotype is visible in both plates, the seed lethal plantlets have excessive roots, thickened cotyledons and lack true first leaves. In the plate without kanamycin, the wild-type seeds produce normal plantlets, on plates with kanamycin the wild-type plants can not grow and the plantlets become bleached and eventually die. All of the seed lethal plantlets remained green, even though they failed to form normal plantlets. Thus the seed lethal phenotype is dependent on the presence of the seed lethal gene. It is also clear, that based on the results of the reciprocal crosses, the seed lethal phenotype can be transmitted via pollen. Thus the seed lethal phenotype is only manifested in the seed, and does not effect other tissues of the plant.

Example 5: Introduction of a repressible lethal gene and repressor gene into a plant line.

In the first portion of this example, tobacco plants that carry the repressible lethal gene under the control of a modified phaseolin promoter are used as a female parent in a cross with tobacco that was previously

transformed with a gene encoding the tet repressor under the control of a 35S promoter and is homozygous for the inserted repressor gene. Plants appear phenotypically normal (Figure 12). In Figure 12, plant A is a plant that contains a repressible seed lethal gene, plant B is a plant that contains a repressor gene, plant C is a plant derived by crossing plants A and B, while plant D is a wild-type tobacco plants. It should be noted that the plants are not all exactly the same age; these plants were maintained by propagation. This photograph is provided to illustrate that plants with a repressible seed lethal gene are phenotypically normal. Seed from plant C is recovered and germinated in the presence of kanamycin to select seed that contains the repressible lethal gene. Viable seed (i.e. seed that germinates normally) contains both the repressible lethal gene and a copy of the repressor gene. PCR analysis for the presence of the repressible lethal gene and the repressor confirmed the genotype. Phenotype was scored by germination analysis. A significant number of independently transformed lines were obtained and analyzed as above. Most of these independent transformed plants exhibited a seed lethal phenotype that ranged from seeds completely unable to germinate to seeds that germinated but yielded abnormal plantlets with excessive roots, thickened cotyledons and lack true first leaves. Table 1 contains the summary data from a series of crosses carried out with representative samples of these various plants. The plants identified were tested for the presence of the seed lethal gene (abbreviated as "SL" in column 2), scored for seed viability in column 3, crossed with the indicated repressor line (abbreviated as "R" in column 4),

seed collected from these plants were analyzed by germination assays (as indicated in column 5) and plant tissue analyzed for the presence of the repressor and seed lethal gene. This analysis proved that repression of the seed lethal gene by the repressor permitted the formation of viable seed. Viable seed germinated normally and was found to contain both the repressible seed lethal gene and the repressor.

Table 1: Summary Data from Crosses of Plants Containing Repressible Seed Lethal Genes and Plants Containing Repressor Genes.

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6
Plant #	Genotype (by PCR)	Seed Viability	Crossed with Repressor Plant	Genotype (by PCR)	Seed Viability
PL2	SL Gene	Non-viable seed	R17-X	SL gene, R gene	Viable seed
PL3	SL Gene	Non-viable seed	R17-X	SL gene, R gene	Viable seed
PL4	SL Gene	Non-viable seed	R17-X	SL gene, R gene	Viable seed
PL5	SL Gene	Non-viable seed	R17-X	SL gene, R gene	Viable seed
PL6	SL Gene	Non-viable seed	R17-X	SL gene, R gene	Viable seed
PL17	SL Gene	Non-viable seed	R17-X	SL gene, R gene	Viable seed
PL21	SL Gene	Non-viable seed	R17-X	SL gene, R gene	Viable seed
PL38	SL Gene	Non-viable seed	R17-X	SL gene, R gene	Viable seed
PL48	SL Gene	Non-viable seed	R17-X	SL gene, R gene	Viable seed
PL53	SL Gene	Non-viable seed	R17-X	SL gene, R gene	Viable seed
Wild type	No SL gene	Viable seed	R17-X	R gene	Viable seed

Plant lines that contained a repressible seed lethal gene were crossed with a plant line containing a repressor gene. Segregating seed populations of both the original plant lines containing the repressible seed lethal gene and plant lines from those plants crossed with a repressor line were germinated in soil. It was found that within a segregating population of seeds derived from a plant containing a seed lethal gene, only those segregants that did not have the seed lethal gene grew. No plants were recovered that carried a seed lethal gene, proving that without the presence of a repressor, no viable plants can be formed from seeds with a seed lethal genotype. However, normal plants were recovered from the seed of crosses with a repressor. These normal plants comprised both the seed lethal and the repressor genes. This indicates that repression of the seed lethal phenotype can be achieved under normal growth conditions. When the seed lethal gene and the repressor gene segregate following crosses with wild-type plants, the seed lethal phenotype re-appears, indicating the repression has been lost through segregation. Thus these series of experiments indicates the method works as predicted based on the genetic model.

It is clear from the foregoing examples, that derivation of genetic combination comprising a repressible seed lethal gene and a repressor is within the ordinary skill of those in the art. Various modifications to the method such as derivation of homozygous lines, different crossing procedures or re-transformation of plant lines to combine the repressible lethal gene and the repressor are

also apparent and are fully appreciated by the skilled artisan.

Example 6: Production of a homozygous plant line.

The plants obtained in example 5, above, illustrate the utility of the method and it is appreciated that a variety of similar steps may be employed with different crops. As an illustration of how a genetic combination of the invention is achieved in a crop such as oilseed *Brassica napus*, this example describes the use of the method in combination with anther culture to rapidly obtain homozygous plant lines. In the present example, the first step is obtaining a plant that carries a seed lethal trait by transforming a *Brassica napus* plant with a recombinant DNA construct comprising a repressible lethal gene preferably linked to an easily identifiable marker gene such as the GUS gene. From a population of primary transformants of plants transformed with a single copy of a repressible lethal gene one would identify those plants in which the seed lethal trait is expressed; thus plants are unable to produce any seed that carries the genetic construct as identified by GUS screening of selfed seeds. Such a plant is then subjected to anther culture to convert the plant to a double haploid plant incapable of producing selfed seed. This plant is homozygous for the repressible lethal gene.

In order to derive a repressor-containing plant capable of repressing the seed lethal phenotype, a *Brassica napus* plant, preferably of the same plant variety, is transformed with a recombinant DNA construct comprising the

repressor DNA. A population of plants containing said repressor DNA is selected. This population of repressor-containing plants is used as male parent to cross to the plant that expresses the seed lethal trait. To simplify the recovery of the crossed seed, it is preferable to emasculate the female parent during the cross. Viable seed produced as a result of said cross must contain the repressible lethal gene and a repressor gene capable of repressing the seed lethal phenotype. Seed from each individual cross is recovered and grown out. From this population of plants, an individual plant is selected which is capable of full self seed set and carries a repressible lethal seed trait. When cross-pollinated to other varieties lacking the repressor gene, such a plant is substantially unable to produce seeds which are positive for the GUS activity linked to said repressed lethal gene.

By such method, the genetic combination can be selected that most efficiently restricts out crossing while maintaining full self-seed set ability. It is not necessary to carry out detailed mapping of the inserted DNA, since the most favorable genetic combination will be those plants which contain the inserted DNAs in the genetic loci that most effectively segregate during meiosis. The seed from this plant line represents the original starting variety modified only to contain a repressible lethal gene and repressor gene. However said plant line is unable to substantially transfer any trait or traits associated with the repressible lethal gene.

For the production of hybrid crops such as hybrid *Brassica napus*, which carry a repressed lethal gene and a

repressor, a modification of the method is provided to allow the production of parental lines. The most obvious approach to combining the repressible lethal gene and the repressor gene is during the production of the hybrid seed. Accordingly hybrid seed thus produced will carry the genetic composition of a repressible lethal gene and a repressor gene.

In order to accomplish the production of this genetic composition, a means to increase seed of the male parent is provided. This method includes transformation of a plant with a DNA molecule comprising a repressible lethal gene and a DNA molecule comprising a repressor gene under the control of an inducible promoter. Preferably the genes are linked and may further comprise a novel trait. The induction of the promoter allows the plant to be made homozygous for the repressed lethal gene and inducible repressor gene. Plant seeds obtained can serve as a male parent in a hybrid cross. Seed is increased in the presence of the inducer.

The female parent in said hybrid cross is produced by transforming a plant with a DNA molecule comprising a repressor gene, making the plant homozygous for the repressor gene; permitting self pollination and self-seed formation; and using this plant line as a female parent in a hybrid cross.

## CLAIMS:

1. A method of making a genetically modified plant comprising:
  - (a) introducing into a plant cell capable of being transformed and regenerated to a whole plant:
    - (i) a DNA construct comprising a repressible lethal gene, the activity of whose gene product is lethal to plant cells; and,
    - (ii) a DNA construct comprising a repressor gene whose gene product is capable of repressing the activity of said lethal gene product;
  - (b) regenerating from said plant cell a whole plant; and,
  - (c) selecting a plant wherein the inserted repressor gene segregates independently from the inserted repressible lethal gene.
2. The method of claim 1 wherein the DNA construct comprising the repressible lethal gene is separate from the DNA construct comprising the repressor gene, and wherein selection is accomplished by crossing the plants generated in step (b..
3. The method of claim 1 wherein the inserted repressible lethal gene and the inserted repressor gene are

located on opposite sister chromosomes of a chromosome pair.

4. The method of claim 1 wherein the DNA construct comprising the repressible lethal gene is the same as the DNA construct comprising the repressor gene, and wherein independent segregation is effected by use of a site-specific recombinase or a transposase.

5. The method of claim 1 wherein the repressible lethal gene or the repressor gene or both is under control of a tissue-specific promoter.

6. The method of claim 1 wherein the DNA construct comprising the repressible lethal gene also comprises a specific DNA operator sequence, wherein the repressor is a bacterial repressor capable of binding to the specific DNA operator sequence, and wherein the binding between the bacterial repressor and the specific DNA operator sequence results in repression of the lethal gene.

7. The method of claim 1 wherein the repressor gene is under control of a constitutive promoter.

8. The method of claim 1 wherein the repressor gene is under control of an inducible promoter.

9. The method of claim 1 wherein the activity of the lethal gene product comprises over-expression or under-expression of a naturally occurring plant growth regulating substance.

10. The method of claim 1 wherein the repressible lethal gene is selected from the group consisting of: oncogenes 1 and 2, oncogene 4 of *Agrobacterium*, a gene encoding a ribosome inactivating protein, a gene encoding diphtheria A chain toxin, and a gene encoding a ribonuclease.

11. The method of claim 1 wherein the DNA construct comprising the repressible lethal gene further comprises a conditionally lethal gene.

12. The method of claim 1 wherein the DNA construct comprising the repressible lethal gene is separate from the DNA construct comprising the repressor gene, and wherein the constructs are introduced simultaneously into a plant cell capable of being transformed and regenerated into a whole plant.

13. The method of claim 1 wherein the DNA construct comprising the repressible lethal gene is the same as the DNA construct comprising the repressor gene, said DNA

construct further comprising a DNA sequence that allows independent segregation of the inserted repressible lethal gene and the inserted repressor gene.

14. A method of making a genetically modified plant comprising:

(a) introducing into a plant cell capable of being transformed and regenerated to a whole plant:

(i) a DNA construct comprising a first repressible lethal gene, the activity of whose gene product is lethal to plant cells;

(ii) a DNA construct comprising a first repressor gene whose gene product is capable of repressing said first lethal gene activity;

(iii) a DNA construct comprising a second repressible lethal gene, the activity of whose gene product is lethal to plant cells; and,

(iv) a DNA construct comprising a second repressor gene whose gene product is capable of repressing said second lethal gene activity;

(b) regenerating from said plant cell a whole plant; and,

(c) selecting a plant wherein the inserted first repressor gene segregates independently from the inserted first repressible gene, and wherein the inserted second repressor gene segregates independently from the inserted second repressible gene.

15. The method of claim 14 wherein the DNA construct comprising the first repressible lethal gene is separate from the DNA construct comprising the first repressor gene; wherein the DNA construct comprising the second repressible lethal gene is separate from the DNA construct comprising the second repressor gene; and wherein selection is accomplished by crossing the plants generated in step (b).

16. The method of claim 14 wherein the inserted first repressible lethal gene and the inserted first repressor gene are located on opposite sister chromosomes of a chromosome pair, and wherein the inserted second repressible lethal gene and the inserted second repressor gene are located on opposite sister chromosomes of a chromosome pair.

17. The method of claim 14 wherein the first repressible lethal gene is within the same DNA construct as the first repressor gene, and wherein the second repressible lethal gene is within the same DNA construct as the second repressor gene; and wherein independent segregation is effected by use of a site-specific recombinase or a transposase.

18. The method of claim 14 wherein the first repressible lethal gene is linked to the second repressor

gene, and wherein the second repressible lethal gene is linked to the first repressor gene.

19. The method of claim 14 wherein the first or second or both repressible lethal gene is under control of a tissue-specific promoter.

20. The method of claim 14 wherein:

(a) the DNA construct comprising the second repressible lethal gene also comprises a first specific DNA operator sequence, wherein the first repressor is a bacterial repressor capable of binding to the first specific DNA operator sequence, and wherein the binding between the first bacterial repressor and the first specific DNA operator sequence results in repression of the second lethal gene; and,

(b) the DNA construct comprising the first repressible lethal gene also comprises a second specific DNA operator sequence, wherein the second repressor is a bacterial repressor capable of binding to the second specific DNA operator sequence, and wherein the binding between the second bacterial repressor and the second specific DNA operator sequence results in repression of the first lethal gene.

21. The method of claim 14 wherein the first or second or both repressor gene is under control of a tissue-specific promoter.

22. The method of claim 14 wherein the first, or first and second, repressor gene is under control of a constitutive promoter.
23. The method of claim 1 or 14 wherein the repressor comprises an antisense RNA or a sense gene capable of inhibiting expression of the repressible lethal gene.
24. The method of claim 1 or 14 wherein the repressor comprises a ribozyme capable of inhibiting expression of the repressible lethal gene.
25. The method of claim 14 wherein either or both repressible lethal genes is selected from the group consisting of: oncogenes 1 and 2 of *Agrobacterium*, oncogenes 1 and 2 of *Pseudomonas*, oncogene 4 of *Agrobacterium*, a gene encoding a ribosome inactivating protein, a gene encoding diphtheria A chain toxin, and a gene encoding a ribonuclease.
26. The method of claim 10 or 25 wherein oncogenes 1 and 2 are from *Agrobacterium* or *Pseudomonas*.
27. The method of claim 10 or 25 wherein oncogene 4 is from *Agrobacterium*.

28. The method of claim 10 or 25 wherein the ribonuclease is Barnase or T1 ribonuclease.
29. The method of claim 14 wherein the DNA construct comprising a first repressible lethal gene, or the DNA construct comprising a second repressible lethal gene, or both, further comprises a conditionally lethal gene.
30. The method of claim 14 wherein the DNA construct comprising the first repressible lethal gene is separate from the DNA construct comprising the first repressor gene; wherein the DNA construct comprising the second repressible lethal gene is separate from the DNA construct comprising the second repressor gene, and wherein the constructs are introduced simultaneously into a plant cell capable of being transformed and regenerated into a whole plant.
31. The method of claim 14 wherein the DNA construct comprising the first repressible lethal gene is the same as the DNA construct comprising the first repressor gene; wherein the DNA construct comprising the second repressible lethal gene is the same as the DNA construct comprising the second repressor gene, said DNA constructs further comprising a DNA sequence that allows independent segregation of the inserted first repressible lethal gene from the inserted first repressor gene, and that allows

independent segregation of the inserted second repressible lethal gene from the inserted second repressor gene.

32. The method of claim 14 wherein the DNA construct comprising the first repressible lethal gene is the same as the DNA construct comprising the second repressor gene; wherein the DNA construct comprising the second repressible lethal gene is the same as the DNA construct comprising the first repressor gene, said DNA constructs being contained within a single plant transformation vector that allows independent segregation of the DNA constructs.

33. The method of claim 32 wherein independent segregation is effected by use of a site-specific recombinase or a transposase.

34. The method of any one of claims 5, 19 and 21 wherein the tissue specific promoter is a seed specific promoter.

35. The method of claim 34 wherein the seed specific promoter is the phaseolin promoter.

36. The method of claim 1 or 14 wherein the plant further comprises a novel trait.

37. The method of claim 36, wherein the novel trait comprises an altered phenotype, a protein not found in the native plant cell, or a protein not found in the native plant cell and which confers no detectable phenotype.
38. The method of claim 36 or 37, wherein the gene encoding the novel trait is linked to a repressible lethal gene.
39. The method of any one of claims 36 to 38, wherein the gene encoding the novel trait is under the control of a seed specific promoter.
40. A method of producing, for a hybrid cross, a male parent comprising a repressible lethal gene that is expressed during outcrossing or introgression of alien germplasm, comprising:
- (a) introducing into a plant cell capable of being transformed and regenerated to a whole plant:
    - (i) a DNA construct comprising a repressible lethal gene, the activity of whose gene product is lethal to plant cells, under control of a seed specific promoter; and,
    - (ii) a DNA construct comprising a repressor gene, whose gene product is capable of repressing said lethal gene activity, under control of an inducible promoter;

wherein the inserted repressor gene segregates independently from the inserted repressible gene;

(b) regenerating from said plant cell a whole plant;

(c) generating from said plant, a plant which is homozygous for the repressible lethal gene and inducible repressor gene;

wherein the homozygous plant can function as the male parent for a hybrid cross.

41. A method of maintaining the male parent of claim 40, comprising:

(a) permitting the homozygous plant to self-pollinate;

(b) inducing the inducible promoter in the homozygous plant during the period of time that seed formation takes place; and

(c) obtaining the seed.

42. A plant produced by the method of claim 40 or 41.

43. A method of producing, for a hybrid cross, a female parent that carries a repressible lethal gene, comprising:

- (a) introducing into a plant cell capable of being transformed and regenerated to a whole plant a DNA construct comprising a repressible lethal gene, the activity of whose gene product is lethal to plant cells, under control of a seed specific promoter; and,
- (b) regenerating from said plant cell a whole plant.

44. A method of producing, for a hybrid cross, a female parent comprising a repressible lethal gene and an inducible repressor gene, comprising:

- (a) introducing into a plant cell capable of being transformed and regenerated to a whole plant:
  - (i) a DNA construct comprising a repressible lethal gene, the activity of whose gene product is lethal to plant cells, under control of a seed specific promoter; and,
  - (ii) a DNA construct comprising a repressor gene, whose gene product is capable of repressing said lethal gene activity, under control of an inducible promoter;

wherein the inserted repressor gene segregates independently from the inserted repressible gene;

- (b) regenerating from said plant cell a whole plant;
- (c) generating from said plant, a plant which is homozygous for the repressible lethal gene and inducible repressor gene;

wherein the homozygous plant can function as the female parent for a hybrid cross.

45. A method of maintaining the female parent of claim 44, comprising:

- (a) permitting the homozygous plant to self-pollinate;
- (b) inducing the inducible promoter in the homozygous plant during the period of time that seed formation takes place; and
- (c) obtaining the seed.

46. A plant produced by the method of any one of claims 43 to 45.

47. The method of any one of claims 40, 41, 43 to 45 wherein said seed specific promoter comprises the phaseolin promoter.

48. The method of any one of claims 40, 41, 43 to 45 wherein the plant further comprises a novel trait.

49. The method of claim 48, wherein the novel trait comprises an altered phenotype, a protein not found in the native plant cell, or a protein not found in the native plant cell and which confers no detectable phenotype.
50. The method of claim 48 or 49, wherein the gene encoding the novel trait is linked to a repressible lethal gene.
51. The method of any one of claims 48 to 50, wherein the gene encoding the novel trait is under the control of a seed specific promoter.
52. A method of producing hybrid seed comprising a repressible lethal gene and a repressor gene, comprising:
- (a) allowing the plant of claim 46 to flower;
  - (b) fertilizing said plant with pollen which comprises a repressor gene whose gene product is capable of repressing said repressible lethal gene; and,
  - (c) recovering hybrid seed which comprises a repressible lethal gene and a repressor gene.
53. A method of producing, for a hybrid cross, a female parent that carries a repressor gene, comprising:

- (a) introducing into a plant cell capable of being transformed and regenerated to a whole plant a DNA construct comprising a repressor gene;
- (b) regenerating from said plant cell a whole plant;
- (c) generating from said plant a plant which is homozygous for the repressor gene;

wherein the homozygous plant can function as the female parent for a hybrid cross.

54. The method of claim 53 wherein the female parent is incapable of self-pollination.

55. A plant produced by the method of claim 53 or 54.

56. A method of making a genetically modified plant seed comprising a repressible lethal gene that is expressed during outcrossing or introgression of alien germplasm, comprising:

- (a) crossing the male parent of claim 42 with the female parent of claim 55, wherein the repressor gene product of the female parent is capable of repressing the repressible lethal gene of the male parent;

(b) harvesting hybrid seed from the male parent, comprising the repressible lethal gene from the male parent and the repressor gene from the female parent.

57. The method of claim 56 wherein the plant seed is self-incompatible.

58. A plant produced by the method of any one of claims 1 to 39, 52, 56 and 57.

59. The plant of any one of claims 42, 46, 55 and 58, further comprising a marker gene.

60. The plant of claim 58 or 59, which is a dicot (*Dicotyledoneae*).

61. The plant of claim 58 or 59, which is a monocot (*Monocotyledoneae*).

62. The plant of claim 58 or 59, which is a member of the family: *Malvaceae*, *Linaceae*, *Compositae*, *Fabaceae*, *Euphorbiaceae*, or *Oleaceae*.

63. The plant of claim 58 or 59, which is a member of the genus: *Brassica*.
64. The plant of claim 58 or 59, which is a member of the genus: *Linum*, *Gossypium*, *Glycine*, *Arachis*, *Carthamus*, *Helianthus*, *Sinapis*, *Raphanus*, *Ricinus* or *Olea*.
65. The plant of claim 58 or 59, which is a member of the family *Brassicaceae* (= *Cruciferae*).
66. The plant of claim 58 or 59, which is a *Brassica napus* or *rapa*.
67. The plant of claim 58 or 59, which is a rapeseed or canola.
68. The plant of claim 58 or 59, which is an oilseed plant.
69. A plant transformation vector, for use in limiting outcrossing of a plant transformed with said vector, comprising:

- (a) a repressible lethal gene, the activity of whose gene product is lethal to plant cells; and,
- (b) a repressor gene whose gene product is capable of repressing the activity of said lethal gene product.

70. A plant transformation vector, for use in limiting introgression of alien germplasm, comprising:

- (a) a repressible lethal gene, the activity of whose gene product is lethal to plant cells; and,
- (b) a repressor gene whose gene product is capable of repressing the activity of said lethal gene product.

71. A plant transformation vector, for use in limiting outcrossing of a plant transformed with said vector or for limiting introgression of alien germplasm, comprising:

- (a) a first repressible lethal gene, the activity of whose gene product is lethal to plant cells;
- (b) a first repressor gene whose gene product is capable of repressing said first lethal gene activity;
- (c) a second repressible lethal gene, the activity of whose gene product is lethal to plant cells; and,
- (d) a second repressor gene whose gene product is capable of repressing said second lethal gene activity.

72. The vector of claim 71, wherein the first repressible lethal gene is not linked to the first repressor gene, and wherein the second repressible lethal gene is not linked to the second repressor gene.

73. The vector of claim 71, wherein the first repressible lethal gene is linked to the first repressor gene, and wherein the second repressible lethal gene is linked to the second repressor gene, and wherein the vector further comprises at least one DNA sequence that allows independent segregation of the first repressible lethal gene from the first repressor gene, and independent segregation of the second repressible lethal gene from the second repressor gene, after transformation.

74. The vector of any one of claims 71 to 73, wherein:

(a) a first specific DNA operator sequence is linked to the second repressible lethal gene, wherein the first repressor is a bacterial repressor capable of binding to the first specific DNA operator sequence, and wherein the binding between the first bacterial repressor and the first specific DNA operator sequence results in repression of the second lethal gene; and,

(b) a second specific DNA operator sequence is linked to the first repressible lethal gene, wherein the second repressor is a second bacterial repressor capable of binding to the second specific DNA operator sequence, and wherein the binding between the second bacterial repressor

and the second specific DNA operator sequence results in repression of the first lethal gene.

75. A plant transformation vector, for use in generating a parent plant for a hybrid cross, comprising:

(a) a repressible lethal gene, the activity of whose gene product is lethal to plant cells, under control of a seed specific promoter; and,

(b) a repressor gene, whose gene product is capable of repressing said lethal gene activity, under control of an inducible promoter.

76. The vector of any one of claims 69, 70 and 75, wherein the repressible lethal gene and the repressor gene are not linked.

77. The vector of any one of claims 69, 70 and 75, wherein the repressible lethal gene and the repressor gene are linked, and wherein the vector further comprises at least one DNA sequence that allows independent segregation of the repressible lethal gene and the repressor gene after transformation.

78. The vector of claim 73 or 77, wherein the at least one DNA sequence comprises a recognition sequence for a site-specific recombinase or a transposase.
79. The vector of any one of claims 69 to 78, wherein the repressible lethal gene or the repressor gene or both is under control of a tissue-specific promoter.
80. The vector of claim 79 wherein the tissue-specific promoter is a seed specific promoter.
81. The vector of claim 80 wherein the seed specific promoter is the phaseolin promoter.
82. The vector of any one of claims 69, 70 and 75 to 78, wherein a specific DNA operator sequence is linked to the repressible lethal gene, wherein the repressor is a bacterial repressor capable of binding to the specific DNA operator sequence, and wherein the binding between the bacterial repressor and the specific DNA operator sequence results in repression of the lethal gene.
83. The vector of any one of claims 69 to 78, wherein the repressor gene is under control of a constitutive promoter.

84. The vector of any one of claims 69 to 78, wherein the repressor gene is under control of an inducible promoter.

85. The vector of any one of claims 69 to 78, wherein the activity of the lethal gene product comprises over-expression or under-expression of a naturally occurring plant growth regulating substance.

86. The vector of any one of claims 69 to 78, wherein the repressible lethal gene is selected from the group consisting of: oncogenes 1 and 2, oncogene 4 of *Agrobacterium*, gene encoding a ribosome inactivating protein, gene encoding diphtheria A chain toxin, and a gene encoding a ribonuclease.

87. The vector of claim 86 wherein oncogenes 1 and 2 are from *Agrobacterium* or *Pseudomonas*.

88. The vector of claim 86 wherein oncogene 4 is from *Agrobacterium*.

89. The vector of claim 86 wherein the ribonuclease is Barnase or T1 ribonuclease.

90. The vector of any one of claims 69 to 78, further comprising a conditionally lethal gene linked to the repressible lethal gene.
91. The vector of any one of claims 69 to 78, wherein the repressor gene is under control of a constitutive promoter.
92. The vector of any one of claims 69 to 78, wherein the product of the repressor gene comprises an antisense RNA or a sense gene or a ribozyme capable of inhibiting expression of the repressible lethal gene.
93. The vector of any one of claims 69 to 78, further comprising a gene encoding a novel trait.
94. The vector of claim 93, wherein the novel trait comprises an altered phenotype, a protein not found in the native plant cell, or a protein not found in the native plant cell and which confers no detectable phenotype.
95. The vector of claim 93 or 94, wherein the gene encoding the novel trait is linked to the repressible lethal gene.

96. The vector of any one of claims 93 to 95, wherein the gene encoding the novel trait is under the control of a seed specific promoter.

97. A plant transformation vector, for use in generating a parent plant for a hybrid cross, comprising a repressible lethal gene, the activity of whose gene product is lethal to plant cells, under control of a seed specific promoter.

98. A plant transformation vector, for use in generating a parent plant for a hybrid cross, comprising a repressor gene.

99. Plasmid pG1, pG14, pPHAStet1, pGG-14.

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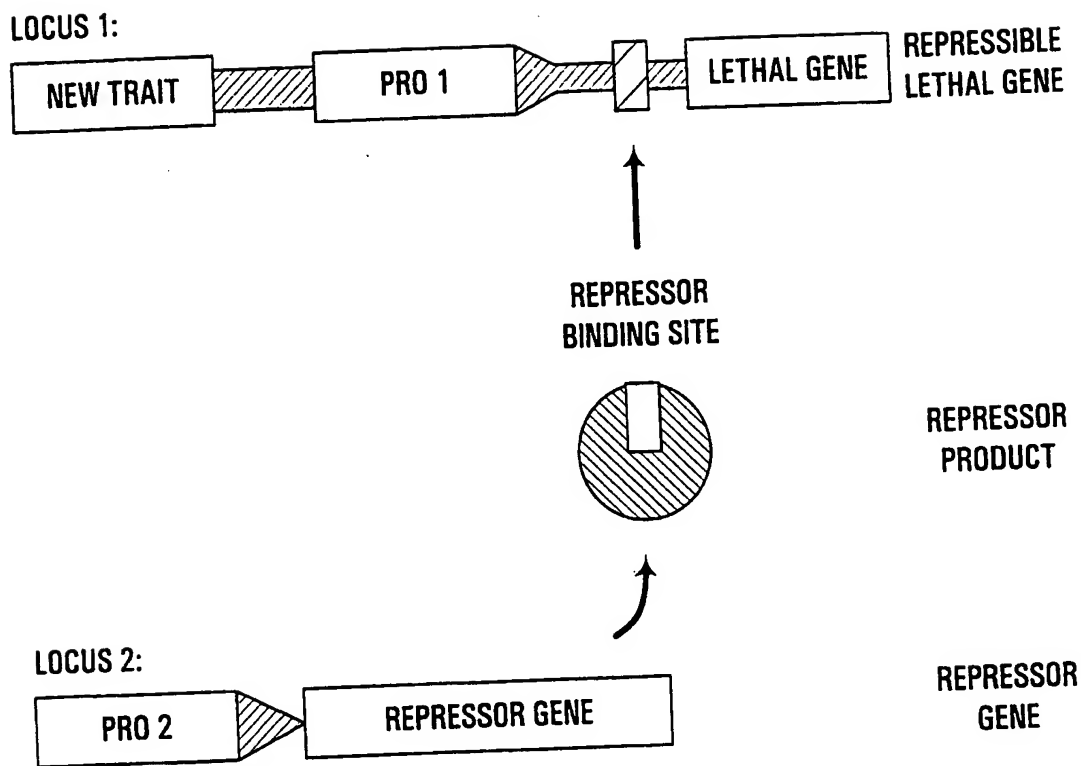


FIG. 1

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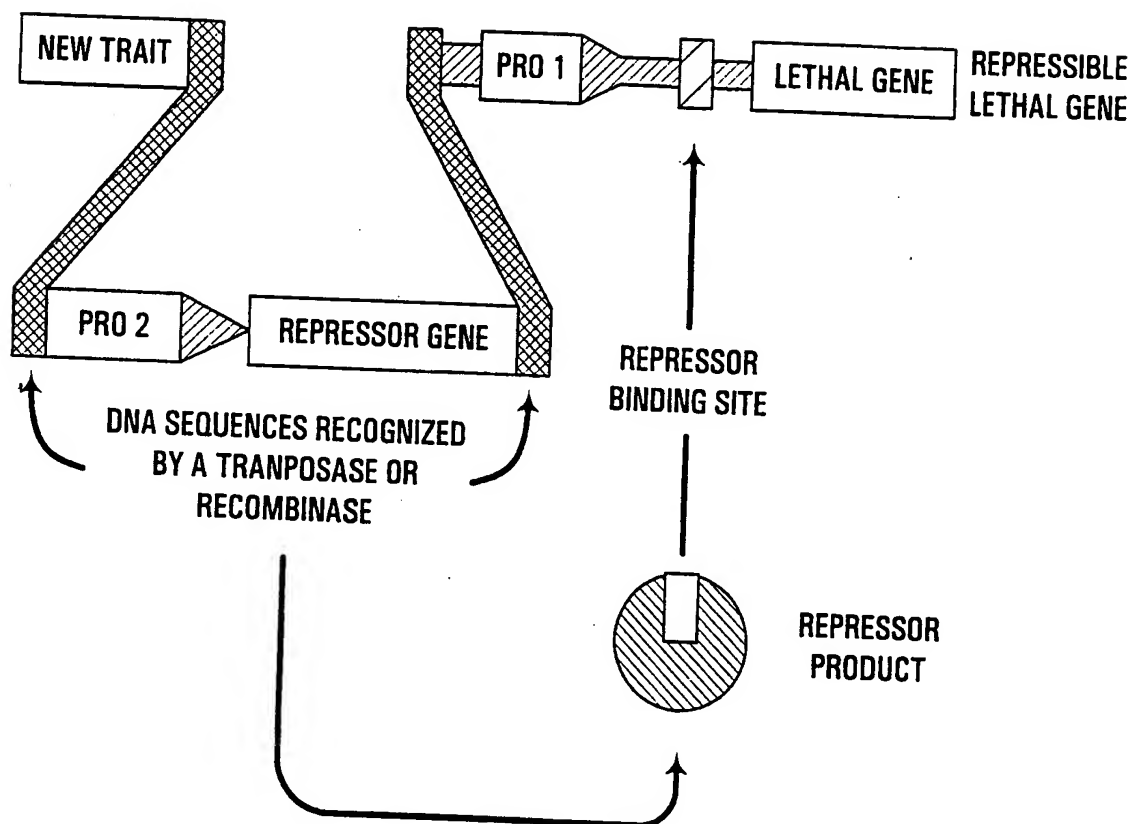


FIG. 2

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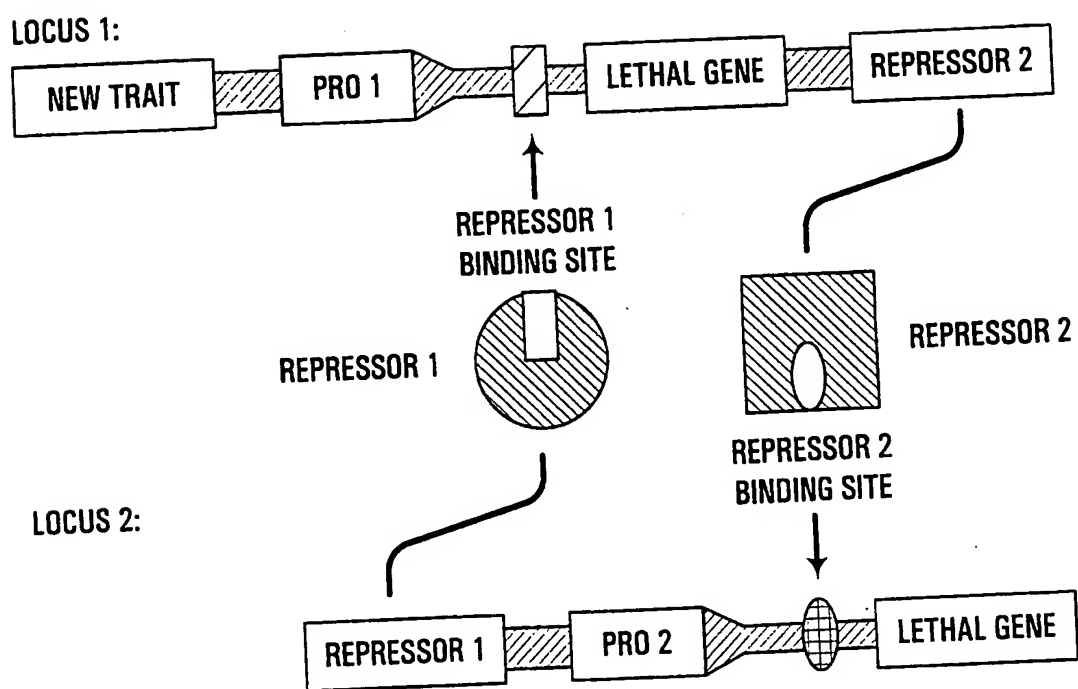


FIG. 3

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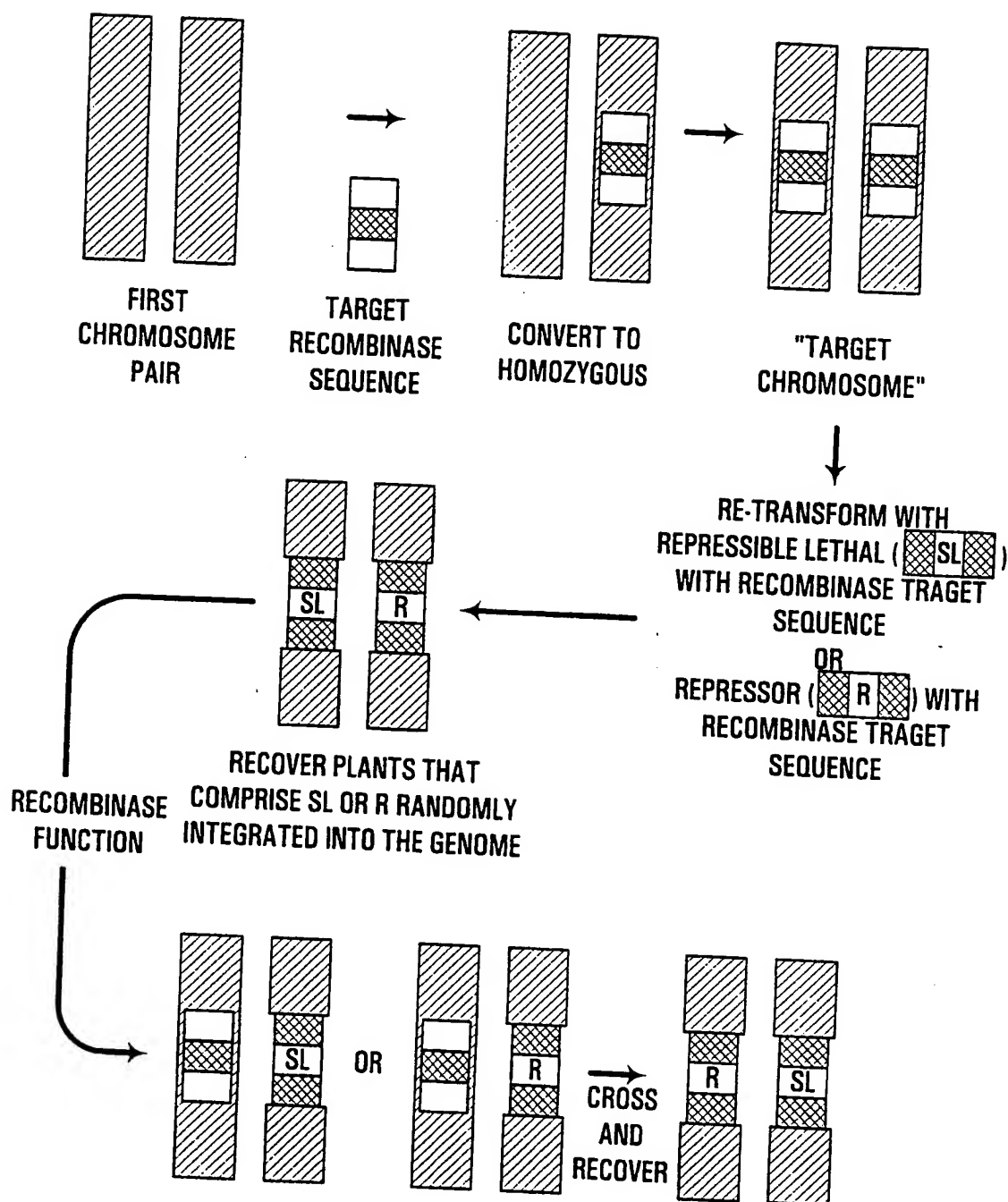


FIG. 4

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ILLUSTRATION OF METHOD IN SELF-POLLINATING CROPS  
e.g. BRASSICA

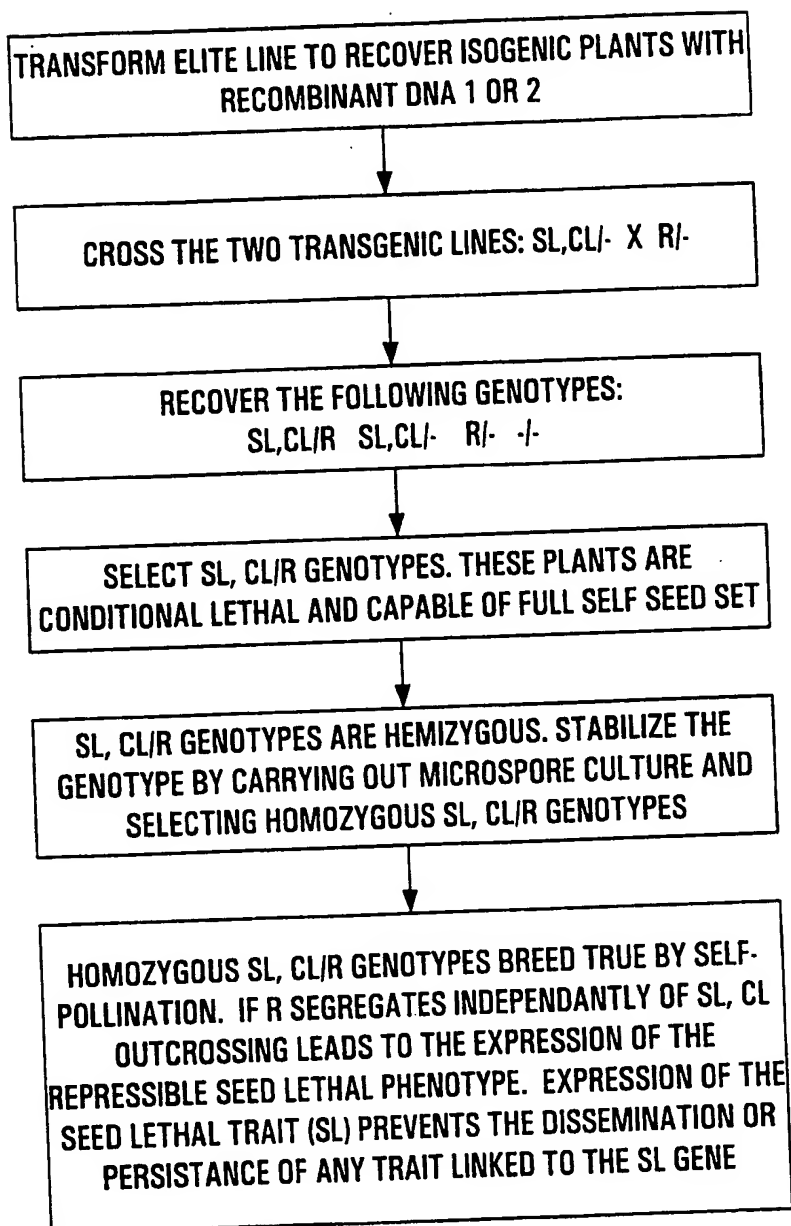


FIG. 5

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## ILLUSTRATION OF USING METHOD IN HYBRID CROPS

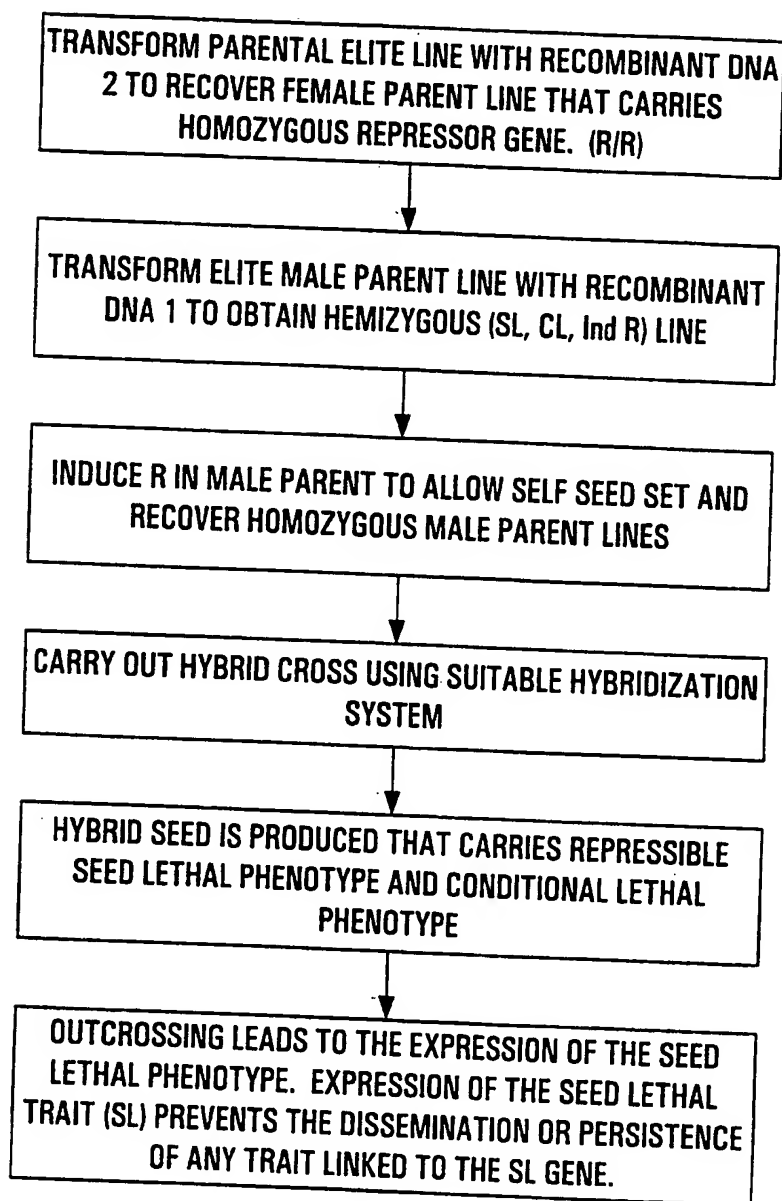


FIG. 6

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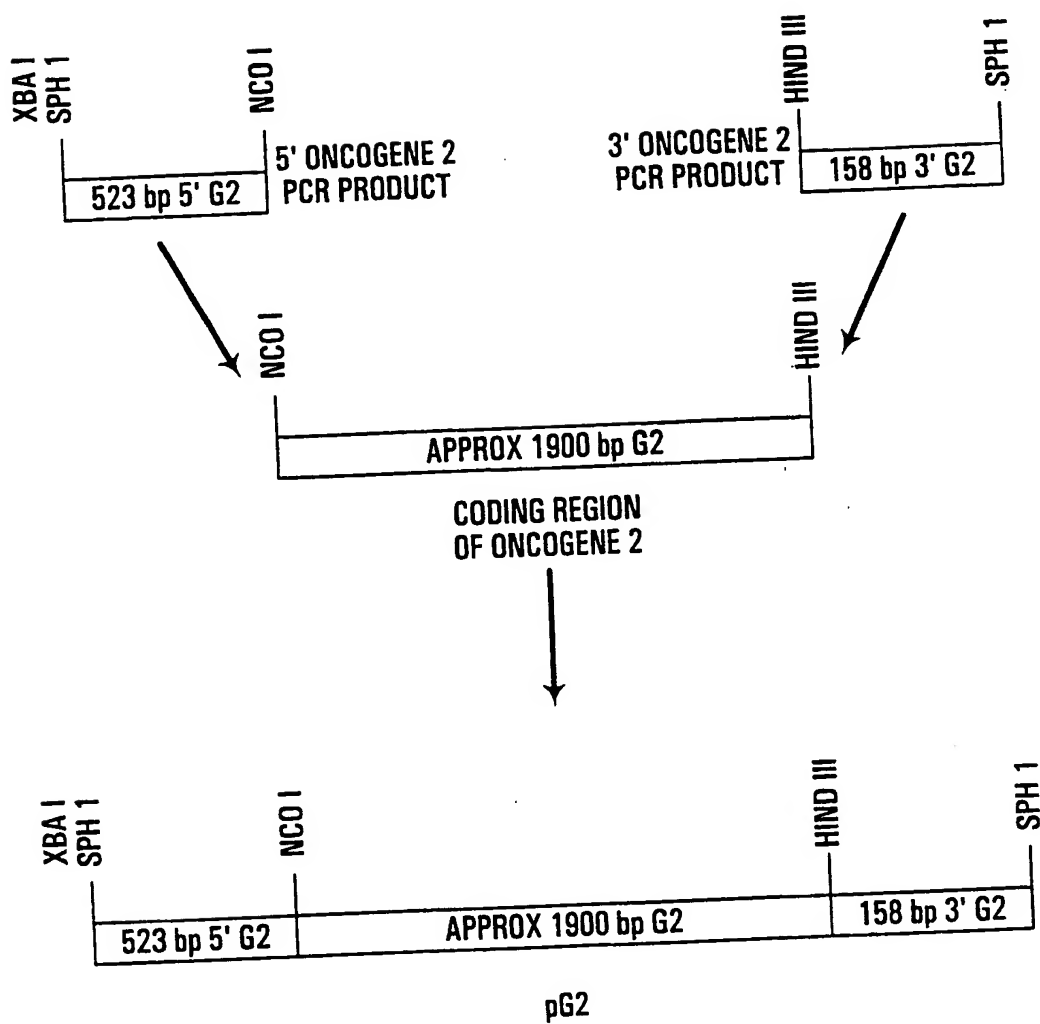


FIG. 7A

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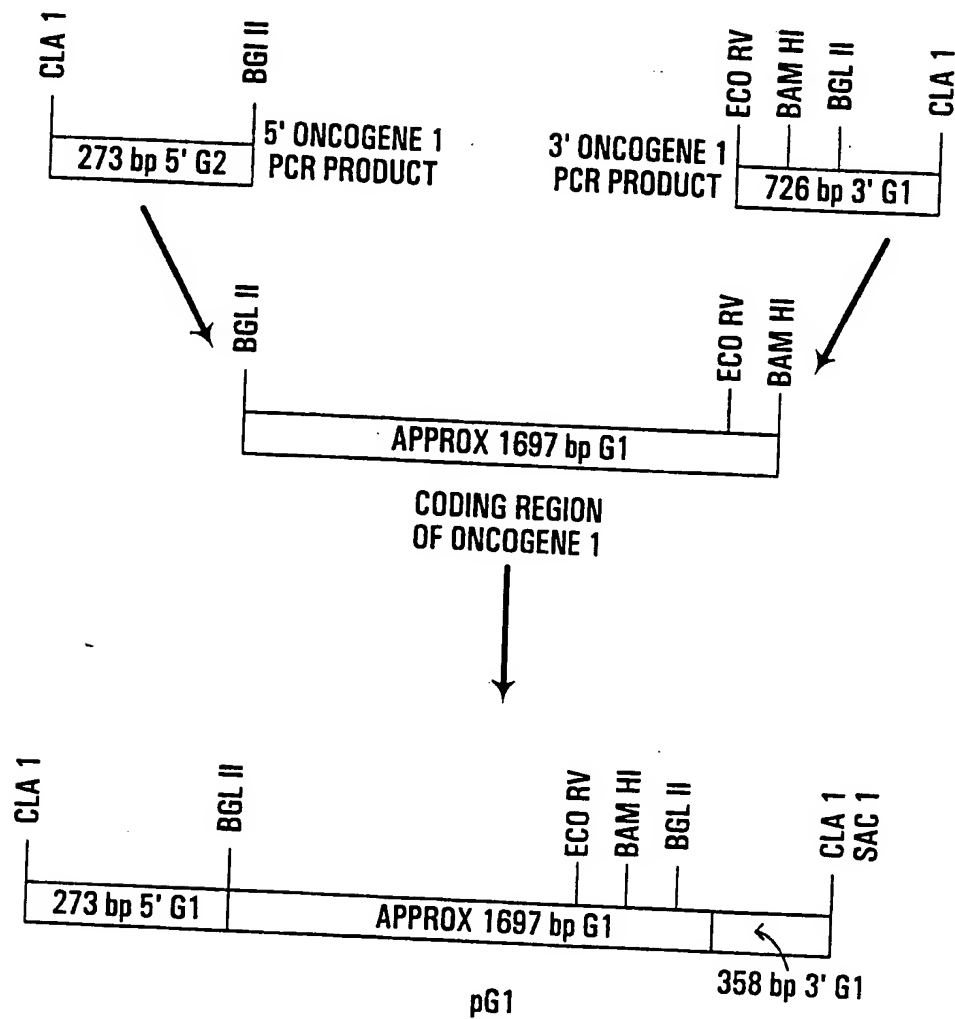


FIG. 7B

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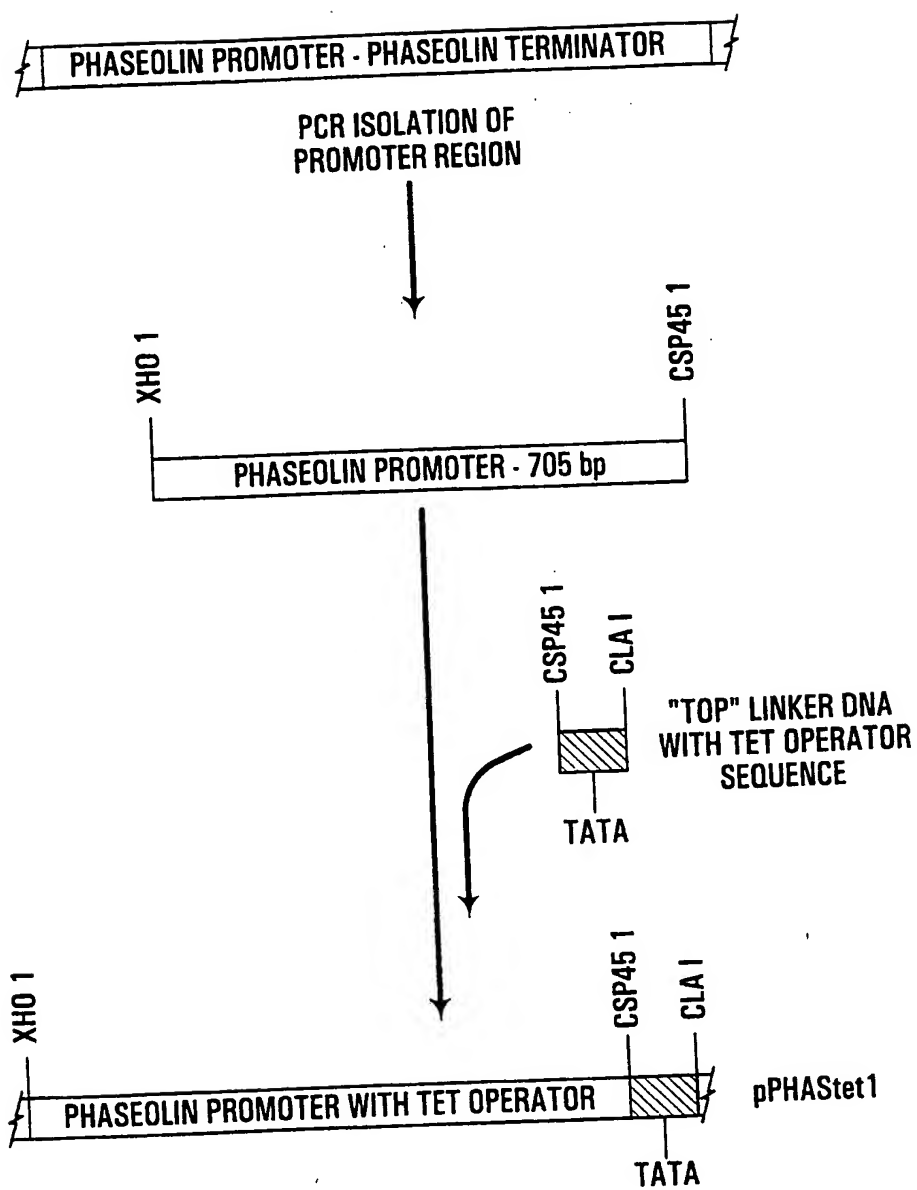


FIG. 8

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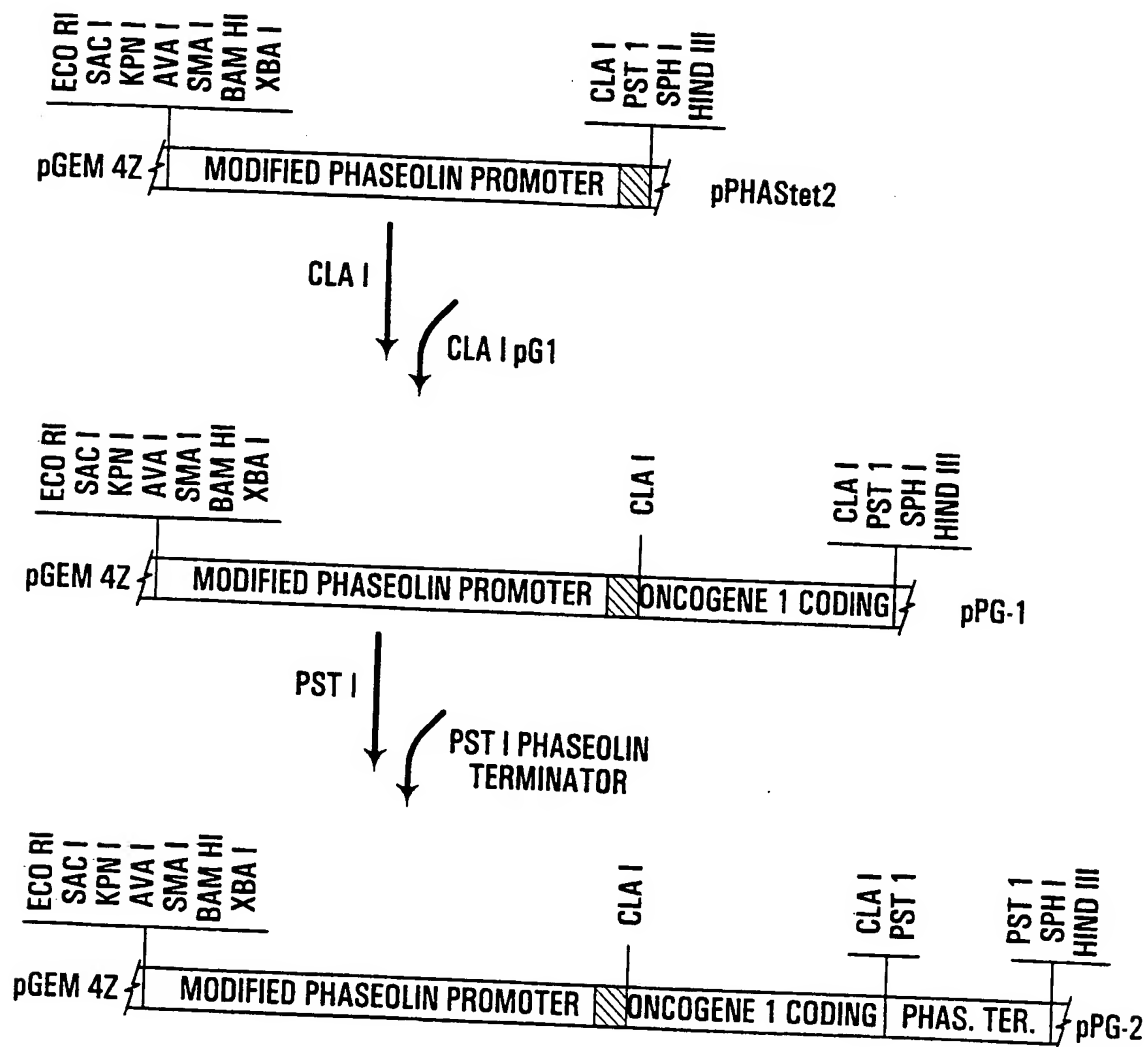


FIG. 9A

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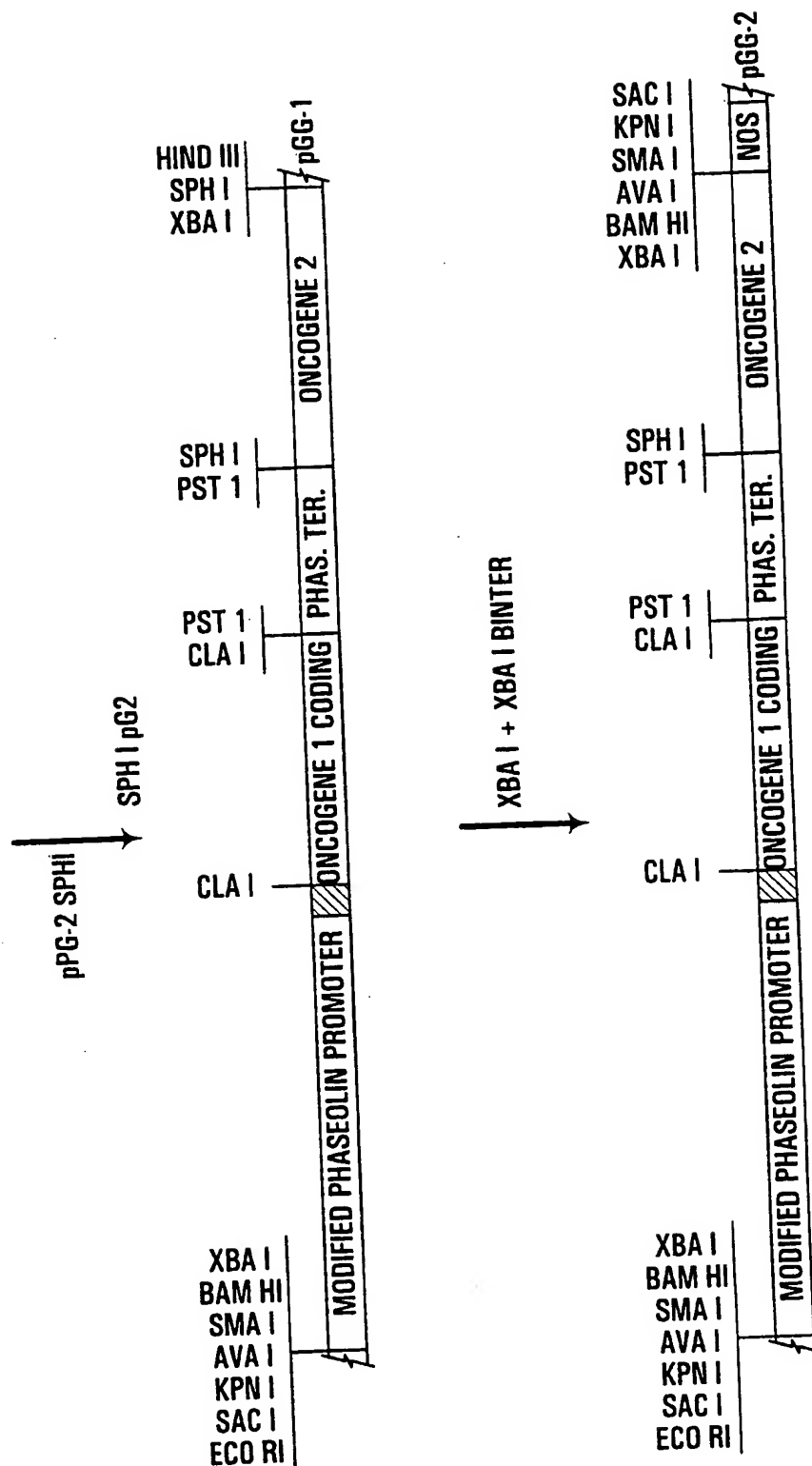


FIG. 9B

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FIG. 10

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FIG. 11

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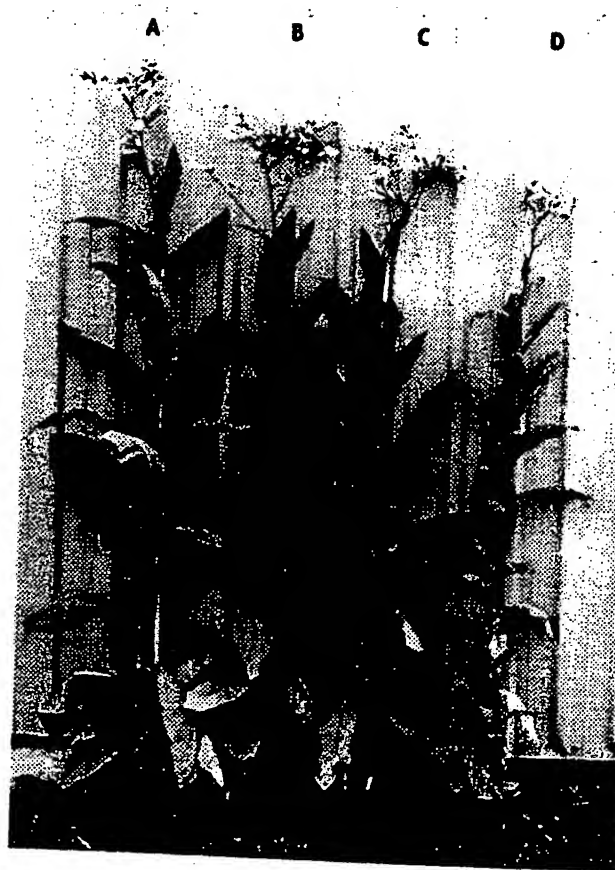


FIG. 12

## SEQUENCE LISTING

<110> Dow AgroSciences Canada Inc.

<120> Methods and Genetic Compositions to Limit Gene Flow and Undesired Outcrossing in Crop Plants

<130> 75151-10

<140>

<141>

<150> US 60/113545

<151> 1998-12-22

<160> 12

<170> PatentIn Ver. 2.0

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WO 00/37660

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81

# INTERNATIONAL SEARCH REPORT

Internal Application No

PCT/CA 99/01208

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 C12N15/82

IPC 7 C12N15/82

According to International Patent Classification (IPC) or to both national classification and IPC

**A. FIELDS SEARCHED**

**B. FIELDS SEARCHED**  
Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C12N

IPC 7 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages

Relevant to claim No.

X  
A

WO 97 40179 A (PIONEER HI-BRED INT)  
30 October 1997 (1997-10-30)  
claims 1,2,6-8; examples 3,4

97

1-13, 23,  
24,  
26-28,  
34-39,  
58-68

X

WO 96 04393 A (US GOVERNMENT; DELTA & PINE  
LAND CO) 15 February 1996 (1996-02-15)  
cited in the application  
claims 1,3,7,8,10,19,21,25,26; examples  
4-7

98

1-13, 23,  
24,  
26-28,  
34-39,  
58-68

-/-

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E earlier document but published on or after the international filing date

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"O" document referring to an oral disclosure, use, exhibition or other means

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"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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\*g\* document member of the same patent family

Date of the actual completion of the international search

**8 May 2000**

Date of mailing of the international search report

16/05/2000

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentaan 2  
NL - 2280 HV Rijswijk  
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Fax: (+31-70) 340-3018

Authorized officer

Schönwasser, D

# INTERNATIONAL SEARCH REPORT

Intern. Appl. No.

PCT/CA 99/01208

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	WO 91 09957 A (E.I. DU PONT DE NEMOURS AND COMPANY) 11 July 1991 (1991-07-11) page 22, line 8 -page 24, line 8	98  1-13,23, 24, 26-28, 34-39, 58-68
A	SITBON F ET AL: "TRANSGENIC TOBACCO PLANTS COEXPRESSING THE AGROBACTERIUM TUMEFACIENS IAAM AND IAAM GENES DISPLAY ALTERED GROWTH AND INDOLEACETIC ACID METABOLISM" PLANT PHYSIOLOGY, vol. 99, 1992, pages 1062-1069, XP002038062 ISSN: 0032-0889 the whole document	1-13,23, 24, 26-28, 34-39, 58-68

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/CA 99/01208

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

## Continuation of Box I.2

Claims Nos.: 23,24,26-28,34-39, 58-68 searched incompletely; claims 14-22,25,29-33,40-57,69-96,99 not searched

In view of the large number of claims presently on file, which render it difficult, if not impossible, to determine the matter for which protection is sought, the present application fails to comply with the clarity and conciseness requirements of Article 6 PCT (see also Rule 6.1(a) PCT) to such an extent that a meaningful search is impossible. Consequently, the search has been carried out for those parts of the application which do appear to be clear and concise, namely for claim 1 and dependent claims, claims 58 and dependent claims, as well as for claims 97 and 98 and subject-matter described in examples 1-6.

Further claim 99 relates to plasmids which are only characterised by their names, but no intrinsic characteristics (e.g. the nucleic acid sequence) are indicated. It is therefore not possible to carry out a meaningful search for the subject-matter of claim 99.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 99/01208

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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		AU 2739297 A	12-11-1997
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